Parallel Explicit Model Checking for Generalized Büchi Automata

E. Renault[1,2,3], A. Duret-Lutz[1], F. Kordon[2,3], D. Poitrenaud[3,4]

[1] LRDE, EPITA, Kremlin-Bicêtre, France
[2] Sorbonne Universités, UPMC Univ. Paris 06, France
[3] CNRS UMR 7606, LIP6, F-75005 Paris, France
[4] Université Paris Descartes, Paris, France

Abstract. We present new parallel emptiness checks for LTL model checking. Unlike existing parallel emptiness checks, these are based on an SCC enumeration, support generalized Büchi acceptance, and require no synchronization points nor repair procedures. A salient feature of our algorithms is the use of a global union-find data structure in which multiple threads share structural information about the automaton being checked. Our prototype implementation has encouraging performances: the new emptiness checks have better speedup than existing algorithms in half of our experiments.

1 Introduction

The automata-theoretic approach to explicit LTL model checking explores the product between two ω-automata: one automaton that represents the system, and the other that represents the negation of the property to check on this system. This product corresponds to the intersection between the executions of the system and the behaviors disallowed by the property. The property is verified if this product has no accepting executions (i.e., its language is empty).

Usually, the property is represented by a Büchi automaton (BA), and the system by a Kripke structure. Here we represent the property with a more concise Transition-based Generalized Büchi Automaton (TGBA), in which the Büchi acceptance condition is generalized to use multiple acceptance conditions. Furthermore, any BA can be represented by a TGBA without changing the transition structure: the TGBA-based emptiness checks we present are therefore compatible with BAs.

A BA (or TGBA) has a non-empty language iff it contains an accepting cycle reachable from the initial state (for model checking, this maps to a counterexample). An emptiness check is an algorithm that searches for such a cycle.

Most sequential explicit emptiness checks are based on a *Depth-First Search* (DFS) exploration of the automaton and can be classified in two families: those based on an enumeration of *Strongly Connected Components* (SCC), and those based on a *Nested Depth First Search* (NDFS) (see [26, 10, 24] for surveys).

Recently, parallel (or distributed) emptiness checks have been proposed [6, 2, 9, 7, 3, 4]: they are mainly based on a *Breadth First Search* (BFS) exploration

which scales better than DFS [23]. Multicore adaptations of these algorithms with lock-free data structure have been discussed, but not evaluated, by Barnat et al. [5].

Recent publications show that NDFS-based algorithms combined with the *swarming* technique [16] scale better in practice [13, 18, 17, 14]. As its name implies, an NDFS algorithm uses two nested DFS: a first DFS explores a BA to search for accepting states, and a second DFS is started (in post order) to find cycles around these accepting states. In these parallel setups, each thread performs the same search strategy (an NDFS) and differs only in the search order (swarming). Because each thread shares some information about its own progress in the NDFS, synchronization points (if a state is handled by multiple threads in the nested DFS, its status is only updated after all threads have finished) or recomputing procedures (to resolve conflicts a posteriori using yet another DFS) are required. So far, attempts to design scalable parallel DFS-based emptiness check that does not require such mechanisms have failed [14].

This paper proposes new parallel emptiness checks for TGBA built upon two SCC-based strategies that do not require such synchronization points nor recomputing procedures. The reason no such mechanisms are necessary is that threads only share structural information about the automaton of the form *"states x and y are in the same SCC"* or *"state x cannot be part of a counterexample"*. Since threads do not share any information about the progress of their search, we can actually mix threads with different strategies in the same emptiness check. Because the shared information can be used to partition the states of the automaton, it is stored in a global and lock-free union-find data structure.

Section 2 defines TGBAs and introduces our notations. Section 3 presents our two SCC-based strategies. Finally, Section 4 compares emptiness checks based on these new strategies against existing algorithms.

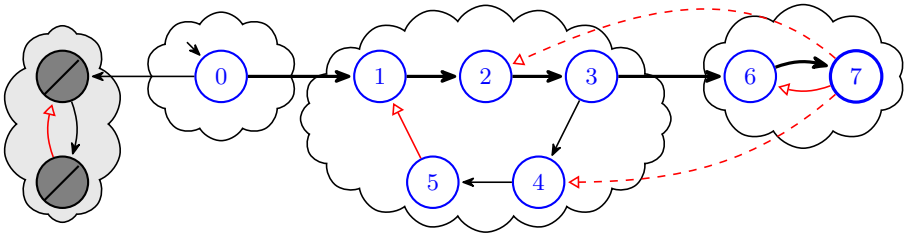
2 Preliminaries

A *TGBA* is a tuple $A = \langle Q, q^0, \delta, \mathcal{F} \rangle$ where Q is a finite set of states, q^0 is a designated initial state, $\mathcal{F}$ is a finite set of acceptance marks, and $\delta \subseteq Q \times 2^{\mathcal{F}} \times Q$ is the (non-deterministic) transition relation where each transition is labelled by a subset of acceptance marks. Let us note that in a real model checker, transitions (or states) of the automata would be labeled by atomic propositions, but we omit this information as it is not pertinent to emptiness check algorithms.

A *path* between two states $q, q' \in Q$ is a finite and non-empty sequence of adjacent transitions $\rho = (s_1, \alpha_1, s_2)(s_2, \alpha_2, s_3)\dots(s_n, \alpha_n, s_{n+1}) \in \delta^+$ with $s_1 = q$ and $s_{n+1} = q'$. We denote the existence of such a path by $q \rightsquigarrow q'$. When $q = q'$ the path is a *cycle*. This cycle is *accepting* iff $\bigcup_{0<i\leq n} \alpha_i = \mathcal{F}$.

A non-empty set $S \subseteq Q$ is a Strongly Connected Component (SCC) iff $\forall s, s' \in S, s \neq s' \Rightarrow s \rightsquigarrow s'$ and S is maximal w.r.t. inclusion. If S is not maximal we call it a *partial* SCC. An SCC is *accepting* iff it contains an accepting cycle. The language of a TGBA A is non-empty iff there is a path from q^0 to an accepting SCC, i.e. the language of A is non-empty ($\mathscr{L}(A) \neq \emptyset$).

Fig. 1. LIVE states are numbered by their live number, dead states are stroke. Clouds represents SCC as discovered so far. The current state of the DFS is 7, and the DFS stack is represented by thick edges. All plain edges have already been explored while dashed edges are yet to be explored. Closing edges have white triangular tips.



3 Generalized Parallel Emptiness Checks

In a previous work [24] we presented sequential emptiness checks for generalized Büchi automata derived from the SCC enumeration algorithms of Tarjan [27] and Dijkstra [11], and a third one using a union-find data-structure. This section adapts these algorithms to a parallel setting.

The sequential versions of Tarjan-based and Dijkstra-based emptiness checks both have very similar structures: they explore the automaton using a single DFS to search for accepting SCCs and maintain a partition of the states into three classes. States that have not already been visited are UNKNOWN; a state is LIVE when it is part of an SCC that has not been fully explored (i.e., it is part of an SCC that contains at least one state on the DFS stack); the other states are called DEAD. A DEAD state cannot be part of an accepting SCC. Any LIVE state can reach a state on the DFS stack, therefore a transition from the DFS stack leading to a LIVE state is called a *closing edge*. Figure 1 illustrates some of these concepts.

These two algorithms differ in the way they propagate information about currently visited SCCs, and when they detect accepting SCCs. A Tarjan-based emptiness check propagates information during backtrack, and may only find accepting SCC when its *root* is popped. (The *root* of an SCC is the first state encountered by the DFS when entering it.) A Dijkstra-based emptiness check propagates information every time a closing edge is detected: when this happens, a partial SCC made of all states on the cycle closed by the closing edge is immediately formed. While we have shown these two emptiness checks to be comparable [24], the Dijkstra-based algorithm reports counterexamples earlier: as soon as all the transitions belonging to an accepting cycle have been seen.

A third algorithm was a variant of Dijkstra using a union-find data structure to manage the membership of each state to its SCC. Note that this data structure could be used as well for a Tarjan-based emptiness check.

Here, we parallelize the Tarjan-based and Dijkstra-based algorithms and use a (lock-free) shared union-find data structure. We rely on the *swarming* tech-

Algorithm 1: Main procedure

```
1  Shared Variables:
2    A: TGBA of ⟨Q, q^0, δ, F⟩
3    stop: boolean
4    uf: union-find of ⟨Q ∪ Dead, 2^F⟩
5  Global Structures:
6    struct Step { src: Q,        acc: 2^F,
7                  pos: int,      succ: 2^δ }
8    struct Transition {src: Q, acc: 2^F
9                       dst: Q}
10   enum Strategy { Mixed,  Tarjan,
11                   Dijkstra}
12   enum Status { LIVE,  DEAD,
13                 UNKNOWN}
14 Local Variables:
15   dfs: stack of ⟨Step⟩
16   live: stack of ⟨Q⟩
17   livenum: hashmap of ⟨Q, int⟩
18   pstack: stack of ⟨P⟩

19 main(str: Strategy)
20   stop ← ⊥
21   uf.make_set(⟨Dead, ∅⟩)
22   if str ≠ Mixed
23     EC(str, 1) || ... || EC(str, n)
24   else
25     str ← Dijkstra
26     EC(str, 1) || ... || EC(str, ⌊n/2⌋)
27     str ← Tarjan
28     EC(str, 1+⌊n/2⌋) || ... || EC(str, n)
29   Wait for all threads to finish

30 GET_STATUS(q ∈ Q) → Status
31   if livenum.contains(q)
32     return LIVE
33   else if uf.contains(varq) ∧
34           uf.same_set(q, Dead)
35     return DEAD
36   else
37     return UNKNOWN

38 EC(str: Strategy, tid: int)
39   seed(tid) // Random Number Gen.
40   PUSH_str(∅, q^0)
41   while ¬ dfs.empty() ∧ ¬ stop
42     Step step ← dfs.top()
43     if step.succ ≠ ∅
44       Transition t ← randomly
45         pick one off from step.succ
46       switch GET_STATUS(t.dst)
47         case DEAD
48           skip
49         case LIVE
50           UPDATE_str(t.acc, t.dst)
51         case UNKNOWN
52           PUSH_str(t.acc, t.dst)
53     else
54       POP_str(step)
55   stop ← ⊤
```

nique: each thread execute the same algorithm, but explores the automaton in a different order [16]. Furthermore, threads will use the union-find to share information about membership to SCCs, acceptance of these SCCs, and DEAD states. Note that the shared information is stable: the fact that two states belong to the same SCC, or that a state is DEAD will never change over the execution of the algorithm. All threads may therefore reuse this information freely to accelerate their exploration, and to find accepting cycles collaboratively.

3.1 Generic Canvas

Algorithm 1 presents the structure common to the Tarjan-based and Dijkstra-based parallel emptiness checks.

All threads share the automaton A to explore, a *stop* variable used to stop all threads as soon an accepting cycle is found or one thread detects that the whole automaton has been visited, and the union-find data-structure [20]. The union-find maintains the membership of each state to the various SCCs of the automaton, or the set of DEAD states (a state is DEAD if it belongs to the same class as the artificial *Dead* state). Furthermore this data structure has been extended to store the acceptance marks occurring in an SCC.

The union-find structure partitions the set $Q' = Q \cup \{Dead\}$ labeled with an element of $2^{\mathcal{F}}$ and offers the following methods:

- `make_set(`$s \in Q'$`)` creates a new class containing the state s if s is not already in the union-find.
- `contains(`$s \in Q'$`)` checks whether s is already in the union-find.
- `unite(`$s_1 \in Q'$, $s_2 \in Q'$, $acc \in 2^{\mathcal{F}}$`)` merges the classes of s_1 and s_2, and adds the acceptance marks acc to the resulting class. This method returns the set of acceptance marks of resulting class. However, when the class constructed by `unite` contains *Dead*, this method always returns $\emptyset$. An accepting cycle can therefore be reported as soon as `unite` returns $\mathcal{F}$.
- `same_set(`$s_1 \in Q'$, $s_2 \in Q'$`)` checks whether two states are in the same class.

As suggested by Anderson and Woll [1], we implement a thread safe version of this union-find structure using *compare-and-swap* since it relies on linked lists and an hash table.

The original sequential algorithms maintain a stack of LIVE states in order to mark all states of an explored SCC as DEAD. In our previous work [24], we suggested to use a union-find data structure for this, allowing to mark all states of an SCC as dead by doing a single unite with an artificial *Dead* state. However, this notion of LIVE state (and closing edge detection) is obviously dependent on the traversal order, and will therefore be different in each thread. Consequently, each thread has to keep track locally of its own LIVE states. Thus, each thread maintains the following local variables:

- The *dfs* stack stores elements of type *Step* composed of the current state (*src*), the acceptance mark (*acc*) for the incoming transition (or $\emptyset$ for the initial state), an identifier *pos* (whose use is different in Dijkstra and Tarjan) and the set *succ* of unvisited successors of the *src* state.
- The *live* stack stores all the LIVE states that are not on the *dfs* stack (as suggested by Nuutila and Soisalon-Soininen [19]).
- The hash map *livenum* associates each LIVE state to a (locally) unique increasing identifier.
- *pstack* holds identifiers that are used differently in the emptiness checks of this paper.

With these data structures, a thread can decide whether a state is LIVE, DEAD, or UNKNOWN (i.e., new) by first checking *livenum* (a local structure), and then *uf* (a shared structure). This test is done by `GET_STATUS`. Note that a state marked LIVE locally may have already been marked DEAD by another thread, thus leading to redundant work. However, avoiding this extra work would require more queries to the shared *uf*.

The procedure `EC` shows the generic DFS that will be executed by all threads. The order of the successors is chosen randomly in each thread, and the DFS stops as soon as one thread sets the *stop* flag. `GET_STATUS` is called on each reached state to decide how it has to be handled: DEAD states are ignored, UNKNOWN states are pushed on the *dfs* stack, and LIVE states correspond to closing edges. This generic DFS is adapted to the Tarjan and Dijkstra strategies by calling $\texttt{PUSH}_{str}$ on new states, $\texttt{UPDATE}_{str}$ on closing edges, and $\texttt{POP}_{str}$ when all the successors of a state have been visited by this thread.

Several parallel instances of this `EC` algorithm are instantiated by the `main` procedure, possibly using different strategies. Each instance is parameterized by a unique identifier *tid* and a *Strategy* selecting either Dijkstra or Tarjan. If `main` is called with the Mixed strategy, it instantiates a mix of both emptiness-checks. When one thread reports an accepting cycle or ends the exploration of the entire automaton, it sets the *stop* variable, causing all threads to terminate. The `main` procedure therefore only has to wait for all threads to terminate.

3.2 The Tarjan Strategy

Strategy 1 shows how the generic canvas is refined to implement the Tarjan strategy. In this algorithm, each new LIVE state is numbered with the actual number of LIVE states during the $\texttt{PUSH}_{Tarjan}$ operation. Furthermore each state is associated to a *lowlink*, i.e., the smallest live number of any state known to be reachable from this state. These *lowlinks*, whose purpose is to detect the root of each SCC, are only maintained for the states on the *dfs* stack, and are stored on the *pstack*.

These *lowlinks* are updated either when a closing edge is detected in the $\texttt{UPDATE}_{Tarjan}$ method (in this case the current state and the destination of the closing edge are in the same SCC) or when a non-root state is popped in $\texttt{POP}_{Tarjan}$ (in this case the current state and its predecessor on the *dfs* stack are in the same SCC). Every time a *lowlink* is updated, we therefore learn that two states belong to the same SCC and can publish this fact to the shared *uf* taking into account any acceptance mark between those two states. If the *uf* detects that the union of these acceptance marks with those already known for this SCC is $\mathcal{F}$, then the existence of an accepting cycle can be reported immediately.

$\texttt{POP}_{Tarjan}$ has two behaviors depending on whether the state being popped is a root or not. At this point, a state is a root if its *lowlink* is equal to its live number. Non-root states are transferred from the *dfs* stack to the *live* stack. When a root state is popped, we first publish that all the SCC associated to this root is DEAD, and also locally we remove all these states from *live* and *livenum* using the `markdead` function.

Strategy 1: Tarjan

struct P $\{p : int\}$

```
1  PUSH_Tarjan(acc ∈ 2^F, q ∈ Q)
2    uf.make_set(q)
3    p ← livenum.size()
4    livenum.insert(⟨ q, p ⟩)
5    pstack.push(⟨ p ⟩)
6    dfs.push(⟨ q, acc, p, succ(q) ⟩)
7  UPDATE_Tarjan(acc ∈ 2^F, d ∈ Q)
8    pstack.top().p ←
9      min(pstack.top().p,
10         livenum.get(d))
11   a ← uf.unite(d, dfs.top().src,
12                acc)
13   if a = F
14     stop ← ⊤
15     report accepting cycle found

16 POP_Tarjan(s ∈ Step)
17   dfs.pop()
18   ⟨ ll ⟩ ← pstack.pop()
19   if ll = s.pos
20     markdead(s)
21   else
22     pstack.top().p ←
23       min(pstack.top().p, ll)
24     a ← uf.unite(s.src,
25                  dfs.top().src, s.acc)
26     if a = F
27       stop ← ⊤
28       report accepting cycle found
29     live.push(s.src)
```

Strategy 2: Dijkstra

struct P $\{p : int,\ acc : 2^{\mathcal{F}}\}$

```
1  PUSH_Dijkstra(acc ∈ 2^F, q ∈ Q)
2    uf.make_set(q)
3    p ← livenum.size()
4    livenum.insert(⟨ q, p ⟩)
5    pstack.push(⟨dfs.size(), ∅ ⟩)
6    dfs.push(⟨ q, acc, p, succ(q) ⟩)
7  UPDATE_Dijkstra(acc ∈ 2^F, d ∈ Q)
8    dpos ← livenum.get(d)
9    ⟨r,a⟩ ← pstack.top()
10   a ← a ∪ acc
11   while dpos < dfs[r].pos
12     ⟨r, la⟩ ← pstack.pop()
13     a ← a ∪ dfs[r].acc ∪ la
14     a ← uf.unite(d, dfs[r].src, a)
15   pstack.top().acc ← a
16   if a = F
17     stop ← ⊤
18     report accepting cycle found

19 POP_Dijkstra(s ∈ Step)
20   dfs.pop()
21   if pstack.top().p = dfs.size()
22     pstack.pop()
23     markdead(s)
24   else
25     live.push(s.src)

26 // Common to all strategies.
27 markdead(s ∈ Step)
28   uf.unite(s.src, Dead)
29   livenum.remove(s.src)
30   while livenum.size() > s.pos
31     q ← live.pop()
32     livenum.remove(q)
```

Fig. 2. Worst cases to detect accepting cycle using only one thread. The left automaton is bad for Tarjan since the accepting cycle is always found only after popping state 1. The right one disadvantages Dijkstra since the union of the states represented by dots can be costly.

If there is no accepting cycle, the number of calls to `unite` performed in a single thread by this strategy is always the number of transitions in each SCC (corresponding to the lowlink updates) plus the number of SCCs (corresponding to the calls to `markdead`). The next strategy performs fewer calls to `unite`.

3.3 The Dijkstra Strategy

Strategy 2 shows how the generic canvas is refined to implement the Dijkstra strategy. The way LIVE states are numbered and the way states are marked as DEAD is identical to the previous strategy. The difference lies in the way SCC information is encoded and updated.

This algorithm maintains *pstack*, a stack of potential roots, represented (1) by their positions p in the *dfs* stack (so that we can later retrieve the incoming acceptance marks and the live number of the potential roots), and (2) the union *acc* of all the acceptance marks seen in the cycles visited around the potential root.

Here *pstack* is updated only when a closing edge is detected, but not when backtracking a non-root as done in Tarjan. When a closing edge is detected, the live number *dpos* of its destination can be used to pop all the potential roots on this cycle (those whose live number are greater than *dpos*), and merge the sets of acceptance marks along the way: this happens in $\texttt{UPDATE}_{Dijkstra}$. Note that the *dfs* stack has to be addressable like an array during this operation.

As it is presented, $\texttt{UPDATE}_{Dijkstra}$ calls `unite` only when a potential root is discovered not be a root (lines 10–14). In the particular case where a closing edge does not invalidate any potential root, no `unite` operation is performed; still, the acceptance marks on this closing edge are updated locally line 15. For instance in Figure 1, when the closing edge $(7, 4)$ is explored, the root of the right-most SCC (containing state 7) will be popped (effectively merging the two right-most SCCs in *uf*) but when the closing edge $(7, 2)$ is later explored no pop will occur because the two states now belong to the same SCC. This strategy therefore does not share all its acceptance information with other threads. In this strategy, the acceptance accumulated in *pstack* locally are enough to detect accepting cycles. However the `unite` operation on line 14 will also return some acceptance marks discovered by other threads around this state: this additional information is also accumulated in *pstack* to speedup the detection of accepting cycles.

In this strategy, a given thread only calls `unite` to merge two disjoint sets of states belonging to the same SCC. Thus, the total number of `unite` needed to build an SCC of n states is necessarily equal to $n - 1$. This is better than the Tarjan-based version, but it also means we share less information between threads.

3.4 The Mixed Strategy

Figure 2 presents two situations on which Dijkstra and Tarjan strategies can clearly be distinguished.

The left-hand side presents a bad case for the Tarjan strategy. Regardless of the transition order chosen during the exploration, the presence of an accepting cycle is only detected when state 1 is popped. This late detection can be costly because it implies the exploration of the whole subgraph represented by a cloud.

The Dijkstra strategy will report the accepting cycle as soon as all the involved transitions have been visited. So if the transition $(1, 0)$ is visited before the transition going to the cloud, the subgraph represented by this cloud will not be visited since the counterexample will be detected before.

On the right-hand side of Fig. 2, the dotted transition represents a long path of m transitions, without acceptance marks. On this automaton, both strategies will report an accepting cycle when transition $(n, 0)$ is visited. However, the two strategies differ in their handling of transition $(m, 0)$: when Dijkstra visits this transition, it has to pop all the candidate roots $1 \dots m$, calling `unite` m times; Tarjan however only has to update the *lowlink* of m (calling `unite` once), and it delays the update of the *lowlinks* of states $0 \dots m-1$ to when these states would be popped (which will never happen because an accepting cycle is reported).

In an attempt to get the best of both worlds, the strategy called "Mixed" in Algo. 1 is a kind of *collaborative portfolio* approach: half of the available threads run the Dijkstra strategy and the other half run the Tarjan strategy. These two strategies can be combined as desired since they share the same kind of information.

Discussion. All these strategies have one drawback since they use a local check to detect whether a state is alive or not: if one thread marks an SCC as DEAD, other threads already exploring the same SCC will not detect it and will continue to perform `unite` operations. Checking whether a state is DEAD in the global *uf* could be done for instance by changing the condition of line 43 of Algo. 1 into: $\mathit{step.succ} \neq \emptyset \wedge \neg \mathit{uf.same\_set}(\mathit{step.src}, \mathit{Dead})$. However such a change would be costly, as it would require as many accesses to the shared structure as there are transitions in the automaton. To avoid these additional accesses to *uf*, we propose to change the interface of `unite` so it returns an additional Boolean flag indicating that one of the two states is already marked as DEAD in *uf*. Then whenever `unite` is called and the extra bit is set, the algorithm can immediately backtrack the *dfs* stack until it finds a state that is not marked as DEAD.

Moreover these strategies only report the existence of an accepting cycle but do not extract it. When a thread detects an accepting cycle, it can stop the others threads and can optionally launch a sequential counterexample computation [10]. Nonetheless, when performing a Dijkstra strategy the extraction can be limited to the states that are already in the union-find. The search of the accepting cycle can also be restricted to states whose projection are in the same SCC of the property automaton.

3.5 Sketch of Proof

Due to lack of space, and since the Tarjan strategy is really close to the Dijkstra strategy, we only give the scheme of a proof[1] that the latter algorithm will terminate and will report a counterexample if and only if there is an accepting cycle in the automaton.

Theorem 1. For all automata A the emptiness check terminates.

Theorem 2. The emptiness check reports an accepting cycle iff $\mathscr{L}(A) \neq \emptyset$.

The theorem 1 is obvious since the emptiness check performs a DFS on a finite graph. Theorem 2 ensues from the invariants below which use the following notations. For any thread, n denotes the size of its *pstack* stack. For $0 \leq i < n$, S_i denotes the set of states in the same partial SCC represented by $pstack[i]$:

$$S_i = \left\{ q \in livenum \;\middle|\; \begin{array}{r} dfs[pstack[i].p].pos \leq livenum[q] \\ livenum[q] \leq dfs[pstack[i+1].p].pos \end{array} \right\} \quad \text{for } i < n-1$$

$$S_{n-1} = \{q \in livenum \mid dfs[pstack[n-1].p].pos \leq livenum[q]\}$$

The following invariants hold for all lines of algorithm 1:

Invariant 1. *pstack* contains a subset of positions in *dfs*, in increasing order.

Invariant 2. For all $0 \leq i < n-1$, there is a transition with the acceptance marks $dfs[pstack[i+1].p].acc$ between S_i and S_{i+1}.

Invariant 3. For all $0 \leq i < n$, the subgraph induced by S_i is a partial SCC.

Invariant 4. If the class of a state inside the union-find is associated to $acc \neq \emptyset$, then the SCC containing this state has a cycle visiting *acc*. (Note: a state in the same class as *Dead* is always associated to $\emptyset$.)

Invariant 5. The first thread marking a state as DEAD has seen the full SCC containing this state.

Invariant 6. The set of DEAD states is a union a maximal SCC.

Invariant 7. If a state is DEAD it cannot be part of an accepting cycle.

These invariants establish both directions of Theorem 2: invariants 1–4 prove that when the algorithm reports a counterexample there exists a cycle visiting all acceptance marks; invariants 5–7 justify that when the algorithm exits without reporting anything, then no state can be part of a counterexample.

4 Implementation and Benchmarks

Table 1 presents the models we use in our benchmark and gives the average size of the synchronized products. The models are a subset of the BEEM benchmark [21], such that every type of model of the classification of Pelánek [22] is represented, and all synchronized products have a high number of states, transitions, and SCC. Because there are too few LTL formulas supplied by BEEM,

[1] Appendix A contains the full proof.

Table 1. Statistics about synchronized products having an empty language (✓) and non-empty one (×).

Model	Avg. States		Avg. Trans.		Avg. SCCs	
	(✓)	(×)	(✓)	(×)	(✓)	(×)
adding.4	5 637 711	7 720 939	10 725 851	14 341 202	5 635 309	7 716 385
bridge.3	1 702 938	3 114 566	4 740 247	8 615 971	1 701 048	3 106 797
brp.4	15 630 523	38 474 669	33 580 776	94 561 556	4 674 238	16 520 165
collision.4	30 384 332	101 596 324	82 372 580	349 949 837	347 535	22 677 968
cyclic-sched	724 400	1 364 512	6 274 289	12 368 800	453 547	711 794
elevator.4	2 371 413	3 270 061	7 001 559	9 817 617	1 327 005	1 502 808
elevator2.3	10 339 003	13 818 813	79 636 749	120 821 886	2 926 881	6 413 279
exit.3	3 664 436	8 617 173	11 995 418	29 408 340	3 659 550	8 609 674
leader-el.3	546 145	762 684	3 200 607	4 033 362	546 145	762 684
prod-cell.3	2 169 112	3 908 715	7 303 450	13 470 569	1 236 881	1 925 909

we opted to generate random formulas to verify on each model. We computed a total number of 3268 formulas.[2]

The presented algorithms deal with any kind of generalized Büchi automata, but there exists specialized algorithms for subclasses of Büchi automata. For instance the verification of a safety property reduces to a reachability test. Similarly, persistent properties can be translated into automata where SCC cannot mix accepting cycles with non-accepting cycles [8] and for which a simpler emptiness check exists. Our benchmark contains only non-persistent properties, requiring a general emptiness check.

Among the 3268 formulas, 1706 result in products with the model having an empty language (the emptiness check may terminate before exploring the full product). All formulas were selected so that the sequential NDFS emptiness check of Gaiser and Schwoon [15] would take between 15 seconds and 30 minutes on an four Intel(R) Xeon(R) CPUX7460@ 2.66GHz with 128GB of RAM. This 24-core machine is also used for the following parallel experiments.

All the approaches mentioned in Section 3 have been implemented in Spot [12]. The union-find structure is lock-free and uses two common optimizations: "Immediate Parent Check", and "Path Compression" [20].

The seed used to choose a successor randomly depends on the thread identifier *tid* passed to `EC`. Thus our strategies have the same exploration order when executed sequentially; otherwise this order may be altered by information shared by other threads.

Figure 3 presents the comparison of our prototype implementation in Spot against the `cndfs` algorithm implemented in LTSmin and the `owcty` algorithm implemented in DiVine 2.4. We selected `owcty` because it is reported to be the

[2] For a description of our setup, including selected models, formulas, and detailed results, see `http://www.lrde.epita.fr/~renault/benchs/TACAS-2015/results.html`.

most efficient parallel emptiness check based on a non-DFS exploration, while `cndfs` is reported to be the most efficient based on a DFS [14].

We generate the corresponding system automata using the version of DiVinE 2.4 patched by the LTSmin team.[3] For each emptiness check, we limit the execution time to one hour: all the algorithms presented in this paper proceess the 3268 synchronized products within this limit while `owcty` fails over 11 cases and `cndfs` fails over 784 cases. DiVinE and LTSmin implement all sorts of optimizations (like state compression, caching of successors, dedicated memory allocator...) while our implementation in Spot is still at a prototype stage. So in absolute time, the sequential version of `cndfs` is around 3 time faster[5] than our prototype implementation which is competitive to DiVinE. Since the implementations are different, we therefore compare the average speedup of the parallel version of each algorithm against its sequential version. The actual time can be found in the detailed results[2].

The left-hand side of Figure 3 shows those speedups, averaged for each model, for verified formulas (where the entire product has to be explored). First, it appears that the Tarjan strategy's speedup is always lower than those of Dijkstra or Mixed for empty products. These low speedups can be explained by contention on the shared union-find data structure during `unite` operations. In an SCC of n states and m edges, a thread applying the Tarjan strategy performs m `unite` calls while applying Dijkstra one needs only $n-1$ `unite` invocations before they both mark the whole SCC as DEAD with a unique `unite` call.

Second, for all strategies we can distinguish two groups of models. For adding.4, bridge.3, exit.3, and leader-election.3, the speedups are quasi-linear. However for the other six models, the speedups are much more modest: it seems that adding new threads quickly yield no benefits. A look to absolute time (for the first group) shows that the Dijkstra strategy is 25% faster than `cndfs` using 12 threads where it was two time slower with only one thread.

A more detailed analysis reveals that products of the first group have many small SCC (organized in a tree shape) while products of the second group have a few big SCC. These big SCC have more closing edges: the union-find data structure is stressed at every `unite`. This confirms what we observed for the Tarjan strategy about the impact of `unite` operations.

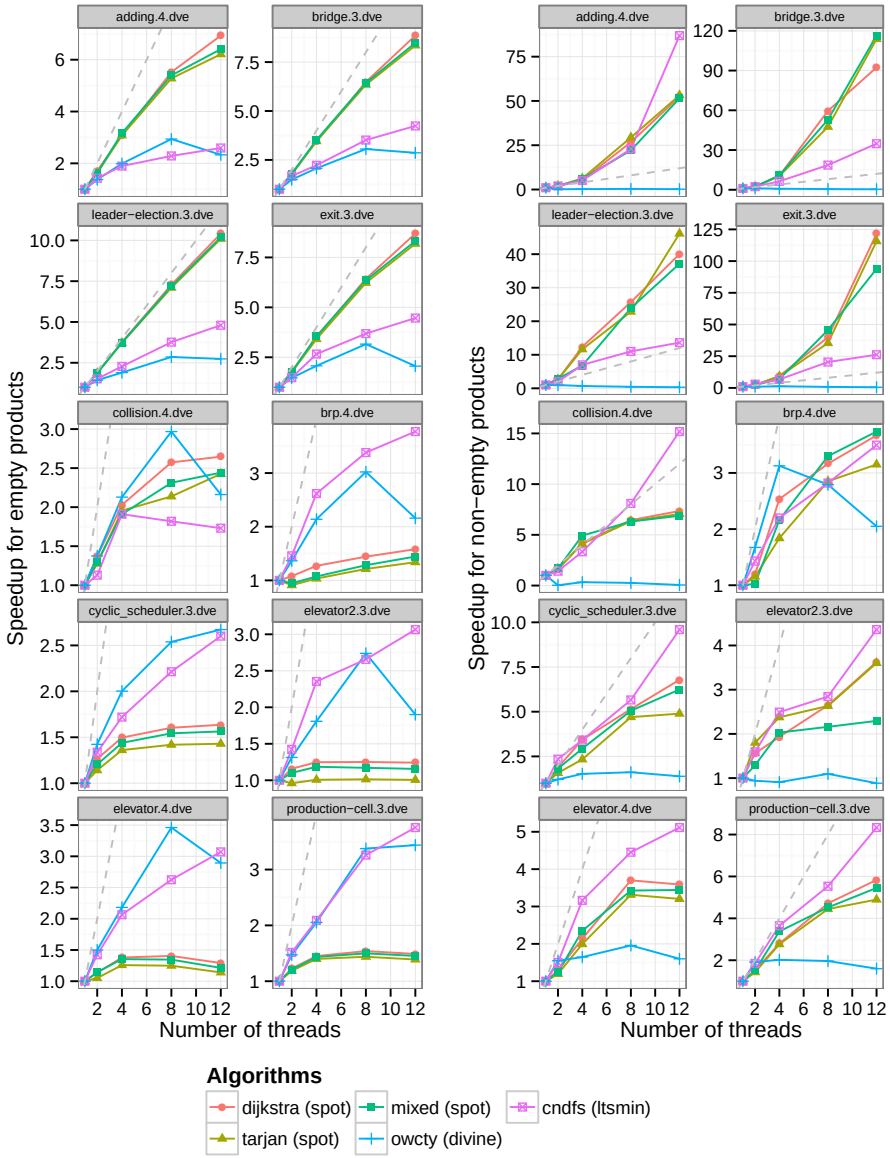
The right-hand side of Figure 3 shows speedups for violated formulas. In these cases, the speedup can exceed the number of threads since the different threads explore the product in different orders, thus increasing the probability to report an accepting cycle earlier. The three different strategies have comparable speedup for all models, however their profiles differ from `cndfs` on some models:

[3] `http://fmt.cs.utwente.nl/tools/ltsmin/#divine`

[4] This figure can be zoomed in color in the electronic version.

[5] Note that the time measured for `cndfs` does not includes the on-the-fly generation of the product (it is precalculated because doing the on-the-fly product in LTSmin exhibits a bug) while the time measured for the others includes the generation of the product.

Fig. 3. Speedup of emptiness checks over the benchmark. [4]



they have better speedups on bridge.3, exit.3, and leader-election.3, but are worse on collision.4, elevator.4 and production-cell.3. The Mixed strategy shows speedups between those of Tarjan and Dijkstra strategies.

5 Conclusion

We have presented some first and new parallel emptiness checks based on an SCC enumeration. Our approach departs from state-of-the-art emptiness checks since it is neither BFS-based nor NDFS-based. Instead it parallelizes SCC-based emptiness checks that are built over a single DFS. Our approach supports generalized Büchi acceptance, and requires no synchronization points nor repair procedures. We therefore answer positively to the question raised by Evangelista et al. [14]: "Is the design of a scalable linear-time algorithm without repair procedures or synchronisation points feasible?". Our prototype implementation has encouraging performances: the new emptiness checks have better speedup than existing algorithms in half of our experiments, making them suitable for portfolio approaches.

The core of our algorithms relies on a union-find (lock-free) data structure to share structural information between multiple threads. The use of a union-find seems adapted to this problem, and yet it has never been used for parallel emptiness checks (and only recently for sequential emptiness checks [24]): we believe that this first use might stimulate other researchers to derive new emptiness checks or ideas from it.

In some future work, we would like to investigate different variations of our algorithms. For instance could the information shared in the union-find be used to better direct the DFS performed by the Dijkstra or Tarjan strategies and help to balance the exploration of the automaton by the various threads? We would also like to implement Gabow's algorithm that we presented in a sequential context [24] in this same parallel setup. Changing the architecture, we would like to explore how the union-find data structure could be adapted to develop asynchronous algorithms where one thread could call `unite` without waiting for an answer. Another topic is to explore the use of SCC strengths [25] to improve parallel emptiness checks.

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This appendix is for interested reviewers and not meant for publication.

A Sketch of Proof for the Dijkstra-based Emptiness Check

To prove the Dijkstra strategy we assume that each line of algorithm 1 and strategy 2 is executed atomically. This hypothesis is realistic because (1) the union-find we use is lock-free, and (2) the only other shared variable is the *stop* variable that can also be modified using compare-and-swap instructions.

We only provide a proof of Theorem 2, since Theorem 1 is the consequence of doing a DFS.

Theorem 2. The emptiness check reports an accepting cycle iff $\mathscr{L}(A) \neq \emptyset$.

The proof uses following definitions and notations:

- A state is *locally alive* iff it is present in the local hashmap *livenum* of a thread;
- A state is *dead* iff it is present in the shared union-find structure (*uf*) and if it is in the same partition than the artificial state *Dead*;
- For any thread, n denotes the size of its *pstack* stack.
- For $0 \leq i < n$, S_i denotes the set of states in the same partial SCC represented by $pstack[i]$, i.e.:

$$S_i = \left\{ q \in livenum \;\middle|\; \begin{array}{r} dfs[pstack[i].p].pos \leq livenum[q] \\ livenum[q] \leq dfs[pstack[i+1].p].pos \end{array} \right\} \quad \text{for } i < n-1$$

$$S_{n-1} = \{q \in livenum \mid dfs[pstack[n-1].p].pos \leq livenum[q]\}$$

Some of these definitions and notations are represented Figure 4. In the following, we prove each invariant independently. Then all these invariants are used to prove the one theorem.

Invariant 1. *pstack* contains a subset of positions in *dfs*, in increasing order.

Proof. By definition *pstack* holds positions of elements inside the *dfs* stack. So we only have to prove that they are increasing. During a $\texttt{PUSH}_{Dijkstra}$ operation, *dfs* and *pstack* are both enlarged and the new value pushed on the top *pstack* (*dfs*.`size()`) is necessarily greater than the others values of *pstack* (strategy 2, line 5).

Moreover, the size of *pstack* is only decreased during a $\texttt{POP}_{Dijkstra}$ (strategy 2, line 22) and during an $\texttt{UPDATE}_{Dijkstra}$ (strategy 2, line 12). $\texttt{UPDATE}_{Dijkstra}$ removes elements from *pstack* without removing elements from *dfs*, so the invariant still holds. $\texttt{POP}_{Dijkstra}$ removes element from *dfs*, but any such element of *pstack* is also removed. □

Invariant 2. For all $0 \leq i < n-1$, there is a transition with the acceptance marks $dfs[pstack[i+1].p].acc$ between S_i and S_{i+1}. More precisely: for all $0 \leq i < n-1$, there exists $\ell \in 2^{AP}$, such that $(dfs[pos-1].src, \ell, dfs[pos].acc, dfs[pos].src) \in \Delta$, with $pos = pstack[i+1].p$

Proof. After the first call to $\texttt{PUSH}_{Dijkstra}$ (algorithm 1, line 39), the initial state is inserted in the stacks *dfs* and *pstack* (strategy 2, line 5–6). Then $n = 1$ and the invariant is trivially verified. Variables *pstack* and *dfs* are also modified during $\texttt{PUSH}_{Dijkstra}$ operations (algorithm 1, line 51). The new position inserted in *pstack* (strategy 2, line 5) references the state inserted in *dfs* (strategy 2, line 6). By definition and since n has just been increased, the state $dfs[pstack[i+1].p-1].src$ with $i = n-2$, represents the top of the stack *dfs* before the call to $\texttt{PUSH}_{Dijkstra}$. This state is the source of the transition computed line 43 (algorithm 1). Before line 51 (algorithm 1), $pstack[n-1].p$ references the top element of the *dfs* stack. After line 51 (algorithm 1), $dfs[pstack[n-1].p].src$ is the destination of the transition computed line 43 while $dfs[pstack[n-1].p].acc$ is the acceptance set of this transition. Invariant 2 is therefore preserved by $\texttt{PUSH}_{Dijkstra}$.

$\texttt{UPDATE}_{Dijkstra}$ and a $\texttt{POP}_{Dijkstra}$ can only modify *pstack* by doing some calls to `pop` but decreasing n preserves the invariant (strategy 2, line 12 and 22). Furthermore, invariant 1 ensures that *pstack* is a subset of positions in *dfs*. □

Invariant 3. For all $0 \leq i < n$, the subgraph induced by S_i is a partial SCC.

Proof. Here again, we only focus on lines impacting the *pstack* variable. After the first call to $\texttt{PUSH}_{Dijkstra}$, the initial state is in the *dfs* stack and the only element in the stack *pstack* references this (initial) state. Therefore the invariant is trivially verified. In the same way, after every call to $\texttt{PUSH}_{Dijkstra}$ (strategy **??**, line 51) a partial SCC composed of a unique state is created. The invariant is then trivially verified.

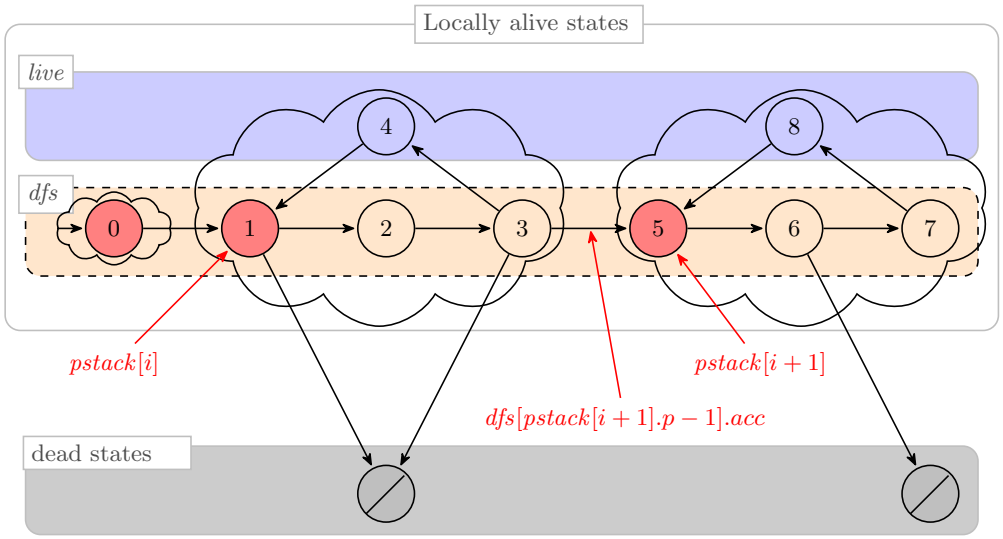

Fig. 4. Notations and definitions used in this annex.

When a closing edge is detected $\texttt{UPDATE}_{Dijkstra}$ is called (algorithm 1, line 49). At this moment the destination of the closing edge d belongs to some partial SCC S_j. If S_j is the top partial SCC ($j = n-1$) the size of *pstack* is not modified (the while loop is not entered at line 11 of strategy 2) and the invariant is preserved. If j is smaller than $n-1$, thanks to the invariants 2–3, there is a path between S_j and S_{n-1} and because of the considered closing edge there is also a path between S_j and S_{n-1}. As a consequence, $S_j \cup \ldots \cup S_{n-1}$ forms a partial SCC. After the execution of the while loop, *pstack* has been popped so that it size equals $j+1$, and the new S_j contains all the states of the previous union. □

Invariant 4. If the class of a state inside the union-find is associated to $acc \neq \emptyset$, then the SCC containing this state has a cycle visiting *acc*. (Note: a state in the same class as *Dead* is always associated to $\emptyset$.)

Proof. By definition, the first call to `make_set(`s`)`, with $s \in Q$, associates s to $\emptyset$ in the shared union-find (strategy 2, line 2). In the same way, all states in the same partition than the artificial state *Dead* are associated to $\emptyset$ (strategy 2, line 28). In the two previous situations the invariant is trivially verified.

The acceptance set is only modified at line 15 (strategy 2). In this case, the new acceptance set results from previous `unite` operations (strategy 2, line 14). According to invariant 3, we know that the acceptance set passed to `unite` represents (a part of) acceptance set in the current SCC. For line 14 (strategy 2) we distinguish only three cases because, by definition, `unite` returns either $\emptyset$ or a superset of the acceptance set given in parameter:

- the acceptance set returned by `unite` is $\emptyset$, the invariant is verified.
- the acceptance set returned by `unite` is equal to the parameter a. The invariant is verified.
- the acceptance set returned is a superset of the parameter a. The other acceptance marks can only come from a `unite` operation of other thread (strategy 2, line 14). In this case we know that there exists a cycle visiting these acceptance marks (invariant 3). The union of acceptance marks is then valid.

□

Invariant 5. The first thread marking a state as DEAD has seen the full SCC containing this state.

Proof. A state is marked DEAD only during the `markdead` operation (precisely line 28 of strategy 2). A thread can call this method only if it detects that the top of *pstack* is equal to the *dfs* size (the root for the partial SCC represented by S_i). During the `unite` operation with the artificial state *Dead*, this thread has seen all states of S_i (which is a partial SCC according to invariant 3) and all non-DEAD states (according to lines 30 to 37 of algorithm 1). Then we distinguish two cases:

- this thread is the first marking DEAD a state of this SCC. Then S_i contains all states of this SCC. Indeed, if S_i does not contains all states of the SCC, there is a state which has not been visited. Since only DEAD states are ignored, it means that another thread marked this state as DEAD: this contradicts the fact that the thread is the first marking dead a state of this SCC.
– otherwise it's not the first thread.

□

Invariant 6. The set of DEAD states is a union of maximal SCC.

Proof. When the first thread marks a state x as DEAD, it has seen all states and transitions of this SCC (invariant 5). Therefore it has seen all the closing edges. Since there is at least one closing edge per cycle and each closing edge causes the entire cycle to be united by $\texttt{UPDATE}_{Dijkstra}$, all the states of all the cycles have been merged into a single class that contains x. The call to `markdead` by this first thread will therefore add a maximal SCC to the DEAD states.

When any later thread marks a state as DEAD, the resulting call to `unite` has no effect since all the states of this SCC have already been marked DEAD by the first thread. □

Invariant 7. If a state is DEAD, it cannot be part of an accepting cycle.

Proof. According to invariant 5, the first thread marking an SCC as dead has visited the whole SCC. During this exploration, all the states of all the cycles of the SCC have been merged in a single class (strategy 2, line 14) and the union-find has accumulated all the acceptance sets of the all the transitions of the SCC. When a state is about to be marked as DEAD for the first time (strategy 2, line 23), we know two things: (1) the entire SCC has been merged into a single class (proof of invariant 6), and (2) the union-find has accumulated the union X of all acceptance marks of the SCC. We necessarily have $X \neq 2^{\mathcal{F}}$ otherwise a counterexample would have been reported on line 16 (strategy 2). Consequently this SCC cannot contain an accepting cycle. □

Proof of theorem 2. ($\Longrightarrow$) The counterexample detection can only happen at line 16 of strategy 2. This detection depends of the acceptance set at the top of the stack *pstack* (strategy 2, lines 15–16). From invariants 1 to 4 we know that there exists a cycle that visits all these acceptance marks. ($\Longleftarrow$) Let us assume that the algorithm terminates without reporting a counterexample. Consider the first thread that reaches line 54: it necessarily exited the while loop because *dfs* was empty. Thus, this thread has marked as DEAD all descendants of the initial state that were not already marked DEAD by another thread. As a consequence, no state of the automaton can be part of a counterexample. □