Data Structures and Algorithms

Tutorial 2: Shuffling an Array

Naive Shuffle

Consider the following algorithm to Shuffle an array: draw elements randomly from the original array *A*, and mark taken elements using an array *C* so we don't take them away. To chose an element, just call RANDOM to generate a new index as long as the corresponding element has already been taken. We will assume that calls to RANDOM use a constant time to return values following a uniform distribution.

```
Input: an array A
Output: a shuffled copy of A
 NAIVESHUFFLE(A)
 1 n \leftarrow length(A)
 1
      for i \leftarrow 1 to n do C[i] \leftarrow 0
 2
      for i \leftarrow 1 to n do
 3
          do
 4
             j \leftarrow \text{RANDOM}(1, n)
 5
          until C[j] = 0
 6
          C[j] \leftarrow 1
          B[i] \leftarrow A[j]
 7
 8
     return B
```

Questions:

- 1. Explain why this algorithm may not terminate.
- 2. Among the cases where the algorithm do terminate, what is the run-time complexity of the best case scenario to shuffle an array of size *n* with this algorithm?
- 3. Let *t_i* be a *random variable* (or *stochastic variable*) denoting the number of calls to RANDOM during the *i*-th iteration.
 - (a) The probability that the second iteration makes only one call to RANDOM is

$$\Pr\{t_2=1\} = \frac{n-1}{n}$$

because there are n - 1 possible free values to choose from the n. What is the probability $Pr{t_{i+1} = k + 1}$ to make k + 1 calls to RANDOM (that means k unlucky random calls followed by 1 good call) after i values have already been taken?

- (b) Deduce the expected value $E[t_{i+1} 1]$.
- (c) Finally give the order (using Θ notation) of the average number of calls to RANDOM: $\sum_{i=1}^{n} E[t_i]$.
- 4. Among all scenarios what is the probability of getting a best case?

The Modern Fisher-Yates Shuffle

This shuffle is also known as the *Knuth Shuffle*.

```
Input: an array A
Output: the array A shuffled in place
FISHERYATESSHUFFLE(A)
```

```
1 n \leftarrow length(A)
2 for i \leftarrow 1 to n - 1 do
```

- 3 $j \leftarrow \text{RANDOM}(i, n)$
- 4 $A[i] \leftrightarrow A[j]$

Questions:

- 1. Explain why this algorithm always terminates.
- 2. What is the run-time complexity of this algorithm?
- 3. How can we justify that this algorithm is *unbiased*? (i.e., That it can generate all permutation with equal chance.)

Inside-Out Fisher-Yates Shuffle

Sometimes you do not want an array to be shuffle in place. This version of the algorithm will shuffle the array as it is being copied.

```
Input: an array A
Output: a shuffled copy of A
 INSIDEOUTFISHERYATESSHUFFLE(A)
     n \leftarrow length(A)
 1
     B[1] \leftarrow A[1]
 2
 3
     for i \leftarrow 2 to n do
 4
         i \leftarrow \text{RANDOM}(1, i)
 5
         B[i] \leftarrow B[j]
         B[j] \leftarrow A[j]
 6
 7
     return B
```

Questions:

- 1. Is this algorithm is *unbiased*?
- 2. What is its run-time complexity?
- 3. Such a shuffle could also be done by copying *A* on *B* and then calling FISHERYATESSHUT-TLE(B). What would be the complexity of doing that?
- 4. Why would we prefer INSIDEOUTFISHERYATESSHUFFLE over copy+FISHERYATESSHUTTLE?