# Consensus is possible! Paxos

Etienne Renault

2 octobre 2020

https://www.lrde.epita.fr/~renault/teaching/algorep/

### A Word on Paxos

- Is Paxos hard?
  - ⇒ Not overly complex
- A troubled history
  - ⇒ L. Lamport waited 10 years before paper accepted for publication (1998)
  - ⇒ Build on work by Lynch and Liskov
  - ⇒ Proved accidentally by Lamport
- Altruism: goal is to reach consensus, not "win"

### Intuition

You are with a group of friends and decide to go diner

#### Constraint :

- The whole group has to agree "gladines" or "pizza"
- No leader in the group
- Everybody is hungry : you have to terminate
- ▶ Use person-to-person communication (yelling is useless)

# Single Acceptor (bad solution)

A single person (acceptor) choses the value.

What if this person leaves the group (the acceptor crashes)?

# Single Acceptor (bad solution)

A single person (acceptor) choses the value.

What if this person leaves the group (the acceptor crashes)?

### Solution

Quorum-based solution  $\Rightarrow$  the value is chosen among a majority of person (acceptors)

Problem : Split votes

What if acceptors accept only the first received values?

Problem : Split votes

What if acceptors accept only the first received values?

Lets us consider 5 processes :

- P1, P2 accepts red
- P3, P4 accepts blue
- P5 accepts green

Problem : Split votes

What if acceptors accept only the first received values?

Lets us consider 5 processes :

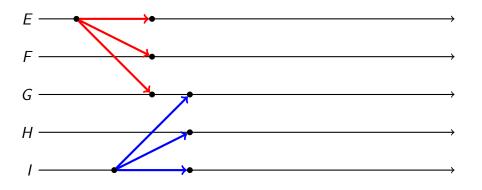
- P1, P2 accepts red
- P3, P4 accepts blue
- P5 accepts green

Acceptor might sometime change their mind in order to reach majority.

### Toward a solution

Acceptors must sometitme accept multiple (different) values

# Problems : Conflicting choices



If an acceptor accept all values it receives, multiple majorities can emerge!

# Multiple Phases requirement

### Multiple Phases (two) are mandatory

- What's happening? Need to ask the majority
- Let's go for pizza! The majority wins

# Multiple Phases requirement

### Multiple Phases (two) are mandatory

- What's happening? Need to ask the majority
- Let's go for pizza! The majority wins

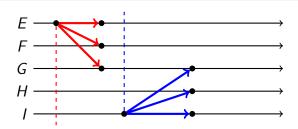
#### Remark

You cannot have two overlapping majority sets in a group of objects  $\Rightarrow 2m+1$  processes required to tolerate m faults

# 2-phases protocol

### Solution

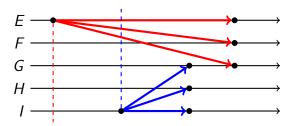
A process must check proposed values before submitting a new one!



### Limitations

### Limitations

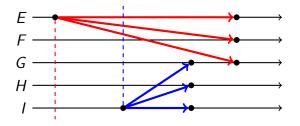
When checking proposed values, some may be in transit!



### Limitations

#### Limitations

When checking proposed values, some may be in transit!



Proposals must be ordered, and old one must be rejected

# Need for proposal numbers

### Each proposal must be identified uniquely

- Maintain a "round number" (the largest round number seen so far)
- Generate a new proposal number by
  - (1) Incrementing the round number
  - (2) concatenate with server ID (lower bits so it's unique)

round number must be stored on disk in case of crash/recovery

### Basic Paxos

### Two phases approach:

- Phase 1 : Broadcast **Prepare** 
  - Find about any chosen values
  - Block older proposal that have not yet been completed
- Phase 2 : Broadcast Accept
  - Ask acceptors to accept a specific value

### Conceptual Roles in Paxos

A process can have three conceptual roles

Proposers: propose values
 Job: try convince the other nodes to accept proposed values

 Acceptors: accept values, where a value is chosen if a majority accept

Job : remember values proposed by proposers

• Learners : learn the outcome (chosen value)

### Conceptual Roles in Paxos

A process can have three conceptual roles

- Proposers: propose values
   Job: try convince the other nodes to accept proposed values
- Acceptors: accept values, where a value is chosen if a majority accept

Job : remember values proposed by proposers

• Learners : learn the outcome (chosen value)

In practice, a process can play any/all roles

# Paxos Protocol Overview 1/2

#### Phase 1:

- [Proposer] Choose a proposal number n
- [Proposer] Broadcast prepare(n) to all servers
- [Acceptor] Response to prepare(n)
  - ▶ if n > minProposal then minProposal = n
  - Return (accepted\_proposal, accepted\_value)
- [Proposer] When responses received from majority
  - if any accepted\_value returned, replace value by accepted\_value for highest accepted\_proposal

# Paxos Protocol Overview 2/2

#### Phase 2:

- [Proposer] Broadcast accept(n, value) to all servers
- [Acceptor] Response to accept(n, value)
  - if n >= minProposal then accepted\_proposal = min\_proposal = n accepted\_value = value
  - ► Return (min\_proposal)
- [Proposer] When responses received from majority
  - ▶ Any objection (result > n)? restart
  - ▶ Otherwise, value is chosen

# Paxos Protocol Overview 2/2

#### Phase 2:

- [Proposer] Broadcast accept(n, value) to all servers
- [Acceptor] Response to accept(n, value)
  - if n >= minProposal then accepted\_proposal = min\_proposal = n accepted\_value = value
  - Return (min\_proposal)
- [Proposer] When responses received from majority
  - ▶ Any objection (result > n)? restart
  - Otherwise, value is chosen

### Important : Stability Remark

accepted\_proposal, min\_proposal and accepted\_value must be stored on disk.

Later proposal

Later proposal

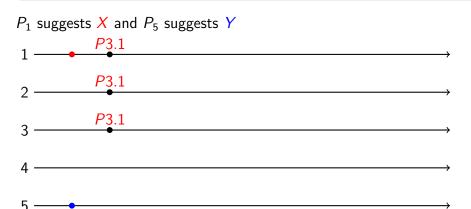
Previous value already chosen  $\Rightarrow$  new proposer will find and use it.

 $P_1$  suggests X and  $P_5$  suggests Y

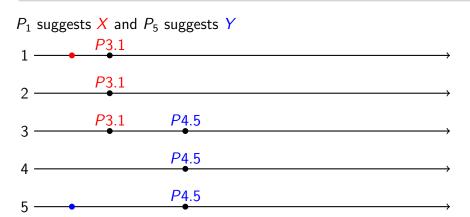
### Later proposal

```
P_1 suggests X and P_5 suggests Y
```

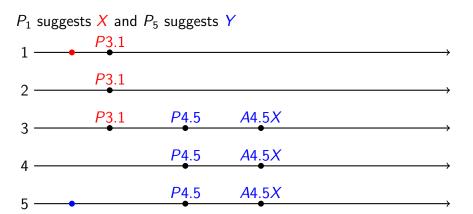
### Later proposal



### Later proposal



### Later proposal



Later proposal

Later proposal

Previous value not already chosen BUT new proposer see it

 $P_1$  suggests X and  $P_5$  suggests Y

### Later proposal

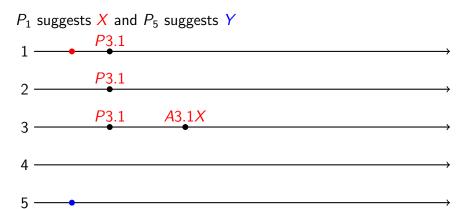
```
P_1 suggests X and P_5 suggests Y
```

### Later proposal

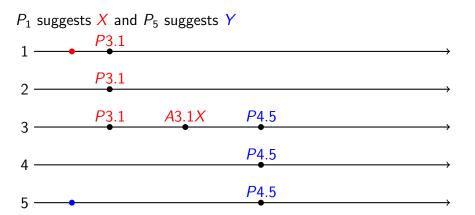
Previous value not already chosen BUT new proposer see it

 $P_1$  suggests X and  $P_5$  suggests Y P3.1 P3.1 P3.1

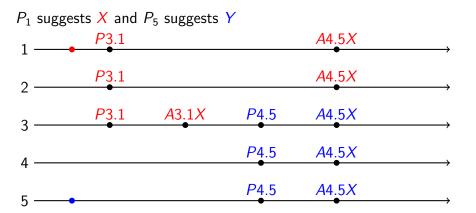
### Later proposal



### Later proposal



### Later proposal



### Later proposal

Previous value not already chosen new proposer doesn't see it ⇒ Block older proposal and new phase 1 relaunched

#### Later proposal

Previous value not already chosen new proposer doesn't see it  $\Rightarrow$  Block older proposal and new phase 1 relaunched

 $P_1$  suggests X and  $P_5$  suggests Y

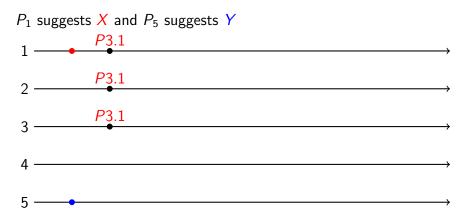
### Later proposal

Previous value not already chosen new proposer doesn't see it  $\Rightarrow$  Block older proposal and new phase 1 relaunched

```
P_1 suggests X and P_5 suggests Y
```

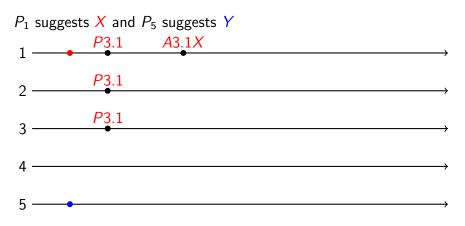
#### Later proposal

Previous value not already chosen new proposer doesn't see it  $\Rightarrow$  Block older proposal and new phase 1 relaunched



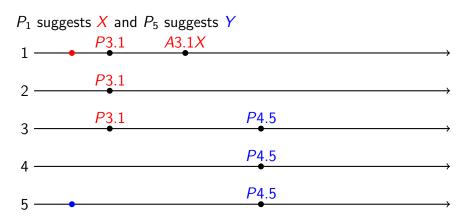
#### Later proposal

Previous value not already chosen new proposer doesn't see it  $\Rightarrow$  Block older proposal and new phase 1 relaunched



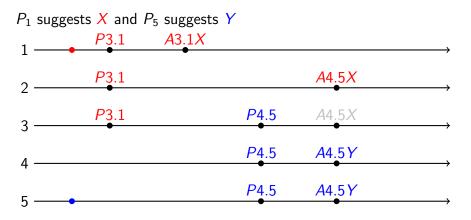
#### Later proposal

Previous value not already chosen new proposer doesn't see it  $\Rightarrow$  Block older proposal and new phase 1 relaunched



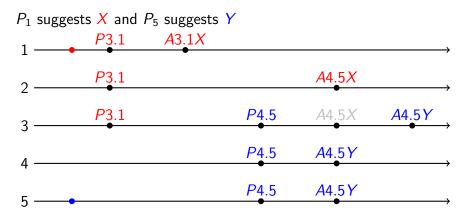
#### Later proposal

Previous value not already chosen new proposer doesn't see it  $\Rightarrow$  Block older proposal and new phase 1 relaunched



#### Later proposal

Previous value not already chosen new proposer doesn't see it  $\Rightarrow$  Block older proposal and new phase 1 relaunched



Competing processes can livelock!

#### Competing processes can livelock!

 $P_1$  suggests X and  $P_5$  suggests Y

#### Competing processes can livelock!

 $P_1$  suggests X and  $P_5$  suggests Y

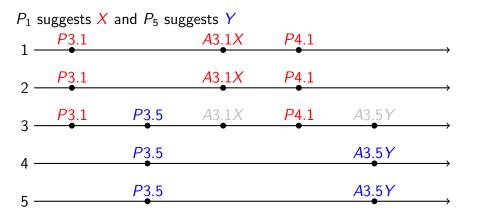
#### Competing processes can livelock!

 $P_1$  suggests X and  $P_5$  suggests YP3.1 P3.1

#### Competing processes can livelock!

 $P_1$  suggests X and  $P_5$  suggests YP3.1 P3.1 P3.1 P3.5 P3.5 A3.5Y P3.5 A3.5Y

#### Competing processes can livelock!



### Solutions

Randomized delays before restarting ⇒ Give a chance to a process to finish!

Multi-paxos will use leader election instead

#### Multi-Paxos

#### Goal

Create a replicated log.

#### Main idea:

- Use a collection of Paxos algorithms
- Add index to Prepare and Accept This index selects entry in log

- Client send command to a server
- Server uses Paxos to choose command as value for log entry
- Server waits for previous entries to be applied then applied command
- Server returns result to client

- Client send command to a server
- Server uses Paxos to choose command as value for log entry
- Server waits for previous entries to be applied then applied command
- Server returns result to client

Multi-paxos not specified precisely in litterature!