

# Logic for $\omega$ -Pushdown Automata

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## Abstract

Context-free languages of infinite words have recently found increasing interest. Here, we will present a second-order logic with the same expressive power as Büchi or Muller pushdown automata for infinite words. This extends fundamental logical characterizations of Büchi, Elgot, Trakhtenbrot for regular languages of finite and infinite words and a more recent logical characterization of Lautemann, Schwentick and Thérien for context-free languages of finite words to  $\omega$ -context-free languages. For our argument, we will investigate Greibach normal forms of  $\omega$ -context-free grammars as well as a new type of Büchi pushdown automata which can alter their stack by at most one element and without  $\epsilon$ -transitions. We show that they suffice to accept all  $\omega$ -context-free languages. This enables us to use similar results recently developed for infinite nested words.

*Keywords:*

pushdown automata,  $\omega$ -words, logic, nested-words, Greibach normal form

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## 1. Introduction

The Büchi-Elgot-Trakhtenbrot Theorem [6, 14, 25] provided a seminal connection between automata and monadic second-order logic for finite words. It was extended to various other structures, like infinite words [7], finite trees [23], finite pictures [16], and finite and infinite nested words [2] and it

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also led to practical applications, e.g. in verification of finite-state programs (model checking, cf. [21, 4, 8]). Lautemann, Schwentick and Thérien [18] provided a Büchi-type description for context-free languages of finite words. This was extended to algebraic formal power series in [20], to (even weighted) higher-order pushdown automata in [26], and to weighted pushdown automata in [13].

Already Cohen and Gold [9] developed fundamental results for  $\omega$ -context-free languages of infinite words, including several equivalent descriptions in terms of grammars, Büchi or Muller automata, and closures under Kleene-like  $\omega$ -rational operations. The main goal of this paper is to provide a Büchi-type description for  $\omega$ -context-free languages by a suitable logic, thereby extending Lautemann, Schwentick and Thérien's result to infinite words (and Büchi's result on  $\omega$ -regular languages to  $\omega$ -context-free languages). In our proof, we will employ a new type of  $\omega$ -pushdown automata. These *simple*  $\omega$ -pushdown automata do not allow  $\epsilon$ -transitions and have only a very restricted access to the stack: they can only push one symbol, pop one symbol or ignore the stack. For finite words, such pushdown automata were utilized in [12]. Weighted simple reset pushdown automata for finite words were investigated in [10]. We believe that this model of simple pushdown automata can be of independent interest.

Recall that in formal language theory, grammars in Greibach normal forms are of basic importance for context-free languages of finite words. Here, we will first use a Kleene-type result of Cohen and Gold [9] to show that each  $\omega$ -context-free language has a Büchi-accepting grammar in quadratic Greibach normal form. This enables us to show, as our first main new result, that each  $\omega$ -context-free language can be accepted by a simple  $\omega$ -pushdown automaton. A similar construction for context-free languages of finite words occurred within an argument of Blass and Gurevich [5].

Then we show that the languages of simple pushdown automata are, in a natural way, projections of visibly pushdown languages investigated by Alur and Madhusudan [1]. Now we can use their expressive equivalence result for visibly pushdown languages and monadic second-order logic to derive our second main result, the logical description of  $\omega$ -context-free languages. Since our proof is constructive and the emptiness problem for  $\omega$ -pushdown automata is decidable (cf. [19]), we can also decide the emptiness of simple  $\omega$ -pushdown automata and therefore the satisfiability for our matching  $\omega$ -logic.

Our paper is structured so that we first deal with simple pushdown au-

tomata and grammars in Greibach normal forms. Our language-theoretic results for these can be read independently from the definition of and results for our second-order logic which are developed afterwards.

## 2. $\omega$ -Pushdown Automata

Common definitions of  $\omega$ -pushdown automata (cf. e.g., Cohen and Gold [9]) extend pushdown automata over finite words by a set of Muller- or Büchi-accepting final states. We do not directly work with this automaton definition because the equivalence proof for this automaton and the logic we will define in Section 4 is not easily possible.

Instead, we propose another automaton model, the simple  $\omega$ -pushdown automaton. As in [12], we restrict the access to the stack to only allow either to keep the stack unaltered, to push one symbol or to pop one symbol. This will later allow us to employ a simple translation from nested word automata to our automaton model. We believe that this automaton model is also of independent interest.

For an alphabet  $\Gamma$ , let  $\mathcal{S}(\Gamma) = (\{\downarrow\} \times \Gamma) \cup \{\#\} \cup (\{\uparrow\} \times \Gamma)$  be the set of *stack commands*.

**Definition 1.** A simple  $\omega$ -pushdown automaton ( $\omega$ SPDA) denotes a 6-tuple  $M = (Q, \Sigma, \Gamma, T, q_0, F)$  where

- $Q$  is a finite set of states,
- $\Sigma$  is a finite input alphabet,
- $\Gamma$  is a finite stack alphabet,
- $T \subseteq Q \times \Sigma \times Q \times \mathcal{S}(\Gamma)$  is a set of transitions,
- $q_0 \in Q$  is the initial state,
- $F \subseteq Q$  is a set of (Büchi-accepting) final states.

A *configuration* of an  $\omega$ SPDA  $M = (Q, \Sigma, \Gamma, T, q_0, F)$  is a pair  $(q, \gamma)$ , where  $q \in Q$  and  $\gamma \in \Gamma^*$ . We define the transition relation between configurations as follows. Let  $\gamma \in \Gamma^*$  and  $t \in T$ . If  $t = (q, \sigma, q', (\downarrow, A))$ , we let  $(q, \gamma) \vdash_M^t (q', A\gamma)$ . If  $t = (q, \sigma, q', \#)$ , we put  $(q, \gamma) \vdash_M^t (q', \gamma)$ . Finally, if  $t = (q, \sigma, q', (\uparrow, A))$ , we let  $(q, A\gamma) \vdash_M^t (q', \gamma)$ . These three types of transitions are called *push*, *internal* and *pop* transitions, respectively. Note that the stack here grows to the left.

We denote by  $\text{state}(q, \sigma, q', s) = q$  the state and by  $\text{label}(q, \sigma, q', s) = \sigma$  the *label* of a transition. Both will be extended to infinite sequence of transitions by letting  $\text{state}((t_i)_{i \geq 0}) = (\text{state}(t_i))_{i \geq 0} \in Q^\omega$  for the infinite

sequence of states and  $\text{label}((t_i)_{i \geq 0}) = (\text{label}(t_i))_{i \geq 0} \in \Sigma^\omega$  for the infinite word constructed from the labels of the transitions.

We call an infinite sequence of transitions  $\rho = (t_i)_{i \geq 0}$  with  $t_i \in T$  a *run* of  $M = (Q, \Sigma, \Gamma, T, q_0, F)$  on  $w = \text{label}(\rho)$  iff there exists an infinite sequence of configurations  $(q_i, \gamma_i)_{i \geq 0}$  with  $\gamma_0 = \epsilon$  such that  $(q_i, \gamma_i) \vdash_M^{t_i} (q_{i+1}, \gamma_{i+1})$  for each  $i \geq 0$ .

For the sequence of states  $(q_i)_{i \geq 0}$ , let  $\text{Inf}((q_i)_{i \geq 0}) = \{q \mid q = q_i \text{ for infinitely many } i \geq 0\}$ . The run  $\rho$  is called *successful* if  $\text{Inf}(\text{state}(\rho)) \cap F \neq \emptyset$ .

**Definition 2.** For an  $\omega$ SPDA  $M = (Q, \Sigma, \Gamma, T, q_0, F)$ , the language accepted by  $M$  is denoted by  $\mathcal{L}(M) = \{w \in \Sigma^\omega \mid \exists \text{ successful run of } M \text{ on } w\}$ . A language  $L \subseteq \Sigma^\omega$  is called  $\omega$ SPDA-recognizable if there exists an  $\omega$ SPDA  $M$  with  $\mathcal{L}(M) = L$ .

For clarity, we abbreviate a run  $\rho = (t_i)_{i \geq 0}$  with  $(q_0, \gamma_0) \vdash_M^{t_0} (q_1, \gamma_1) \vdash_M^{t_1} \dots$  where  $\text{label}(t_i) = a_i$  by  $\rho: (q_0, \gamma_0) \xrightarrow{a_0} (q_1, \gamma_1) \xrightarrow{a_1} \dots$  such that the word becomes visible.

**Example 1.** We define an example automaton  $\mathcal{A} = (Q, \Sigma, \{S, B\}, T, S, \{S\})$  with  $Q = \{S, M, B\}$ ,  $\Sigma = \{a, b\}$  and the transitions  $T$  as depicted in Fig. 1. In state  $M$ , the automaton reads  $a$  and pushes  $B$ . For every  $B$  that is popped from the stack, the automaton reads  $b$ . When there are no more  $B$  on the stack,  $S$  is remaining on the stack and  $b$  brings the automaton to start from the beginning. As  $S$  is the only final state, we have  $\mathcal{L}(\mathcal{A}) = \{a^n b^n \mid n \geq 1\}^\omega$ .

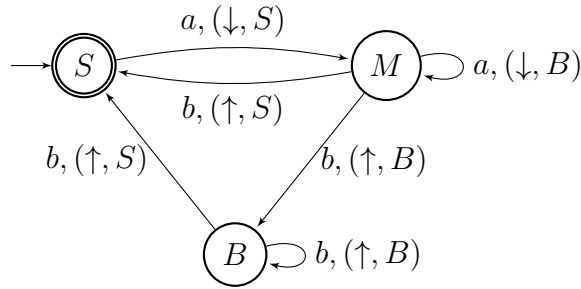


Figure 1: Example 1: Automaton

### 3. Expressiveness

In this section, we prove that the simple  $\omega$ -pushdown automata defined above recognize all  $\omega$ -context-free languages. This means that the restrictions in the automaton model do not change the expressiveness of  $\omega$ -pushdown automata. Even though this property seems to be very basic, we could not find many results in the literature on this property. For the case of finite words, Blass and Gurevich [5] show how to translate nested-word automata into pushdown automata and only use the three stack commands defined for  $\omega$ SPDA. As we borrowed the restrictions of our automaton model from nested-word automata, we use a similar strategy here to prove the expressive equivalence also in the infinite case.

First, let us recall some background. The concept of  $\omega$ -context-free languages has been defined in [9] (for an overview cf. [24]). They are defined to be the languages generated by  $\omega$ -context-free grammars with Muller-acceptance condition (cf. [22]). It is shown that these languages coincide with the class of languages recognized by general  $\omega$ -pushdown automata, both for Büchi- and Muller-acceptance condition.

Clearly, every  $\omega$ SPDA-recognizable language is  $\omega$ -context-free. The inverse will be shown subsequently.

The  $\omega$ -context-free grammars are similar to context-free grammars for finite words. We consider only infinite leftmost derivations and define both Büchi- and Muller-acceptance conditions.

**Definition 3** (Cohen and Gold [9]). *An  $\omega$ -context-free grammar is a tuple  $G = (N, \Sigma, P, S, F)$  where  $(N, \Sigma, P, S)$  is an ordinary context-free grammar for finite words and  $F$  defines the acceptance condition: If  $G$  is Muller-accepting, we have  $F \subseteq 2^N$ . If  $G$  is Büchi-accepting, we have  $F \subseteq N$ .*

*Let  $\delta: S \rightarrow \dots$  be an infinite derivation of  $G$ . We write  $\delta: S \rightarrow_G^\omega w$  if  $w \in \Sigma^\omega$  is the infinite word of terminals occurring in the production rules of  $\delta$ . For  $i \geq 0$ , let  $\delta_N(i) = A_i$  be the non-terminal which is the left-hand side of the rule applied in step  $i$  of derivation  $\delta$ . We define  $\text{Inf}(\delta) = \{A \mid A = A_i \text{ for infinitely many } i \geq 0\}$ .*

*For a Muller-accepting  $\omega$ -context-free grammar  $G$ , the language generated by  $G$  is defined as*

$$\mathcal{L}(G) = \{w \in \Sigma^\omega \mid \exists \text{ leftmost derivation } \delta: S \rightarrow_G^\omega w \text{ with } \text{Inf}(\delta) \in F\}.$$

*For Büchi-accepting  $\omega$ -context-free grammars,*

$\mathcal{L}(G) = \{w \in \Sigma^\omega \mid \exists \text{ leftmost derivation } \delta: S \rightarrow_G^\omega w \text{ with } \text{Inf}(\delta) \cap F \neq \emptyset\}$ .

A language  $L \subseteq \Sigma^\omega$  is said to be an  $\omega$ -context-free language if  $L = \mathcal{L}(G)$  for a Muller-accepting  $\omega$ -context-free grammar  $G$ .

Clearly, every Büchi-accepting  $\omega$ -context-free grammar can be translated in a Muller-accepting one. The inverse is not so easily seen.

**Lemma 4** ([15]). *Every  $\omega$ -context-free language is generated by a Büchi-accepting  $\omega$ -context-free grammar.*

This has been shown in [15] by using automata over countable words, i.e., also words with multiple  $\omega$ -operators are allowed. But it can be shown directly by using a standard idea used already to translate Muller-automata to Büchi-automata (cf. Theorem 4.1.4 in Cohen and Gold [9]). In the sequel, a stronger result will be needed and is provided by Lemma 6 below.

For finite words, the following definition is standard, cf. Autebert, Berstel and Boasson [3] for an overview.

**Definition 5.** *An  $\omega$ -context-free grammar  $G = (N, \Sigma, P, S, F)$  is in Greibach normal form if  $P \subseteq N \times \Sigma N^*$ . More specifically,  $G$  is in quadratic (or 2-) Greibach normal form if*

$$P \subseteq N \times (\Sigma \cup \Sigma N \cup \Sigma N N).$$

**Lemma 6.** *Let  $L$  be an  $\omega$ -context-free language. There exists a Büchi-accepting  $\omega$ -context-free grammar  $G$  in quadratic Greibach normal form with  $\mathcal{L}(G) = L$ .*

The idea of the proof is similar to the idea given in Cohen and Gold [9], Theorem 4.2.2, which shows that for Muller-accepting  $\omega$ -context-free grammars one can remove rules of the type  $A \rightarrow \epsilon$ . They claim in Theorem 4.2.4 that the same idea can be used to prove that for every  $\omega$ -context-free language there exists a Muller-accepting  $\omega$ -context-free grammar in Greibach normal form. We show it here for Büchi-acceptance and for the stricter quadratic Greibach normal form.

*Proof.* By Theorem 4.1.8 of Cohen and Gold [9],  $L$  can be expressed as the Kleene-closure of context-free languages over finite words, i.e., for some  $l \in \mathbb{N}$ , there exist context-free grammars  $G_i, G'_i$  ( $1 \leq i \leq l$ ) such that  $L = \bigcup_{i=1}^l \mathcal{L}(G_i) \mathcal{L}(G'_i)^\omega$ . For  $1 \leq i \leq l$ , let  $G_i = (N_i, \Sigma, P_i, S_i)$  and  $G'_i =$

$(N'_i, \Sigma, P'_i, S'_i)$  and we assume all  $N_i$  and  $N'_i$  to be pairwise distinct. As the  $G_i$  and  $G'_i$  are context-free grammars for finite words, we can assume they are in quadratic Greibach normal form.

We construct the Büchi-accepting  $\omega$ -context-free grammar  $G = (N, \Sigma, P, S, F)$  where  $N = \{S\} \cup \bigcup_{i=1}^l (N_i \cup N'_i \cup \{\bar{S}_i\})$  and  $F = \{\bar{S}_i \mid 1 \leq i \leq l\}$  as follows; here the symbols  $S$  and  $\bar{S}_i$  are new symbols and are assumed to be neither in  $N_i$  nor in  $N'_i$ . We define as an intermediate step

$$P_{\text{tmp}} = \{S \rightarrow S_i \bar{S}_i, \bar{S}_i \rightarrow S'_i \bar{S}_i \mid 1 \leq i \leq l\} \cup \bigcup_{i=1}^l (P_i \cup P'_i).$$

The grammar  $G$  with  $P_{\text{tmp}}$  as set of production rules accepts the language  $L$  but is not yet in Greibach normal form. To achieve this, we first substitute  $S_i$  and  $S'_i$  with all possible right-hand sides of their productions to obtain  $G$  in Greibach normal form:

$$P = \{S \rightarrow \alpha \bar{S}_i, \bar{S}_i \rightarrow \alpha' \bar{S}_i \mid S_i \rightarrow \alpha \in P_i, S'_i \rightarrow \alpha' \in P'_i, 1 \leq i \leq l\} \cup \bigcup_{i=1}^l (P_i \cup P'_i)$$

Unfortunately,  $\alpha$  and  $\alpha'$  can already contain two non-terminals and therefore,  $G$  can contain up to three non-terminals on the right-hand sides of its productions. Thus,  $G$  is not yet in quadratic Greibach normal form.

The Büchi-acceptance condition and the definition of  $F$  ensure that for every word accepted by  $G$ , one of the  $G'_i$  is applied infinitely many times. Hence

$$\mathcal{L}(G) = \bigcup_{i=1}^l \mathcal{L}(G_i) \mathcal{L}(G'_i)^\omega = L.$$

Now, we apply a standard algorithm (see e.g. Harrison [17], Theorem 4.7.1) to convert  $P$  into quadratic Greibach normal form  $\bar{G} = (\bar{N}, \Sigma, \bar{P}, \bar{S}, F)$  with  $\bar{N} = N \cup N \times N$  and

$$\begin{aligned}
\bar{P} = \bigcup_{i=1}^l (P_i \cup P'_i) \\
\cup \{ \bar{S} \rightarrow \alpha \bar{S}_i \quad | \quad S_i \rightarrow \alpha \in P_i, |\alpha| \leq 2, 1 \leq i \leq l \} \\
\cup \{ \bar{S} \rightarrow aB(C, \bar{S}_i) \quad | \quad S_i \rightarrow aBC \in P_i, 1 \leq i \leq l \} \\
\cup \{ \bar{S}_i \rightarrow \alpha \bar{S}_i \quad | \quad S'_i \rightarrow \alpha \in P'_i, |\alpha| \leq 2, 1 \leq i \leq l \} \\
\cup \{ \bar{S}_i \rightarrow aB(C, \bar{S}_i) \quad | \quad S'_i \rightarrow aBC \in P'_i, 1 \leq i \leq l \} \\
\cup \{ (A, \bar{S}_i) \rightarrow \alpha \bar{S}_i \quad | \quad A \rightarrow \alpha \in P_i \cup P'_i, |\alpha| \leq 2, 1 \leq i \leq l \} \\
\cup \{ (A, \bar{S}_i) \rightarrow aB(C, \bar{S}_i) \quad | \quad A \rightarrow aBC \in P_i \cup P'_i, 1 \leq i \leq l \}.
\end{aligned}$$

The new non-terminals are pairs  $(A, B)$  with production rules that apply a production rule of non-terminal  $A$  and add the non-terminal  $B$  to its right-hand side.

Technically, because the  $G_i$  and  $G'_i$  are grammars for finite words, they could derive the empty word  $\epsilon$ . In this special case, whenever  $|\alpha| = 0$ , we substitute in the above construction  $\alpha \bar{S}_i$  by all right-hand sides of productions for  $\bar{S}_i$  and we simply omit rules  $\bar{S}_i \rightarrow \alpha \bar{S}_i$ .

This shortens the production rules to at most two non-terminals on the right-hand side. As only an occurrence of the non-terminal  $\bar{S}_i$  in  $\bar{G}$  implies an occurrence of  $\bar{S}_i$  in a derivation of  $G$ , the set of Büchi states  $F$  is not changed. It follows that

$$\mathcal{L}(\bar{G}) = \mathcal{L}(G) = L$$

and  $\bar{G}$  is in quadratic Greibach normal form.  $\square$

Note that the above construction for  $\bar{P}$  needs one case less than the original construction in Harrison [17], Theorem 4.7.1. In the original construction, there is a special case, in which for  $(A, B)$  there is already a production rule for  $A$  with three non-terminals on the right hand side. In  $\bar{P}$ , such production rules only occur for  $\bar{S}$  and for  $\bar{S}_i$ . But neither  $\bar{S}$  nor any of the  $\bar{S}_i$  occur in the first position of any pair  $(A, B)$ .

**Example 2.** Let  $\Sigma = \{a, b, c\}$ ,  $L_1 = c^+$  and  $L'_1 = \{w \in \{a, b\}^+ \mid |w|_a = |w|_b\}$ . The corresponding grammars are  $G_1 = (\{S_1\}, \Sigma, P_1, S_1)$  where  $P_1 = \{S_1 \rightarrow c \mid cS_1\}$  and  $G'_1 = (N'_1, \Sigma, P'_1, S'_1)$  where  $N'_1 = \{S'_1, M, N, A, B\}$  and  $P'_1$  contains the rules



$$\begin{aligned}
S'_1 &\rightarrow aB \mid bA \mid aS'_1B \mid bS'_1A \mid aBS'_1 \mid bAS'_1 \mid aS'_1M \mid bS'_1N \\
M &\rightarrow bS'_1 \\
N &\rightarrow aS'_1 \\
A &\rightarrow a \\
B &\rightarrow b.
\end{aligned}$$

The corresponding grammar for  $L = L_1L_1^\omega$  is  $\bar{G} = (\bar{N}, \Sigma, \bar{P}, \bar{S}, F)$  with  $F = \{\bar{S}_1\}$  and  $\bar{P}$  contains the rules of  $P_1$ , of  $P'_1$ , and additionally

$$\begin{aligned}
\bar{S} &\rightarrow c\bar{S}_1 \mid cS_1\bar{S}_1 \\
\bar{S}_1 &\rightarrow aB\bar{S}_1 \mid bA\bar{S}_1 \mid aS'_1(B, \bar{S}_1) \mid bS'_1(A, \bar{S}_1) \mid aB(S'_1, \bar{S}_1) \mid bA(S'_1, \bar{S}_1) \mid \\
&\quad aS'_1(M, \bar{S}_1) \mid bS'_1(N, \bar{S}_1) \\
(B, \bar{S}_1) &\rightarrow b\bar{S}_1 \\
(A, \bar{S}_1) &\rightarrow a\bar{S}_1 \\
(S'_1, \bar{S}_1) &\rightarrow aB\bar{S}_1 \mid bA\bar{S}_1 \mid aS'_1(B, \bar{S}_1) \mid bS'_1(A, \bar{S}_1) \mid aB(S'_1, \bar{S}_1) \mid bA(S'_1, \bar{S}_1) \mid \\
&\quad aS'_1(M, \bar{S}_1) \mid bS'_1(N, \bar{S}_1) \\
(M, \bar{S}_1) &\rightarrow bS'_1\bar{S}_1 \\
(N, \bar{S}_1) &\rightarrow aS'_1\bar{S}_1.
\end{aligned}$$

We call intermediate steps in a derivation  $\delta: S \rightarrow \alpha_1 \rightarrow \alpha_2 \rightarrow \dots$  *sentential forms*. Thus, the  $i$ -th sentential form of  $\delta$  is  $\alpha_i$ . Similarly, the  $i$ -th configuration of a run  $\rho: \gamma_0 \vdash \gamma_1 \vdash \dots$  is defined to be  $\gamma_i$ .

The following will be the first main result of this paper.

**Theorem 7.** *Every  $\omega$ -context-free language is  $\omega$ SPDA-recognizable.*

*Proof.* Let  $L$  be an  $\omega$ -context-free language. By Lemma 6,  $L$  is generated by some Büchi-accepting  $\omega$ -context-free grammar  $G = (N, \Sigma, P, S, F)$  in quadratic Greibach normal form. We construct an  $\omega$ SPDA  $M = (Q, \Sigma, \Gamma, T, q_0, F)$  with  $Q = \Gamma = N$ ,  $q_0 = S$ , and

$$T = \{(A, a, B, (\downarrow, C)) \mid A \rightarrow aBC \in P\} \cup \tag{1}$$

$$\{(A, a, B, \#) \mid A \rightarrow aB \in P\} \cup \tag{2}$$

$$\{(A, a, B, (\uparrow, B)) \mid A \rightarrow a \in P, B \in N\} \tag{3}$$

for  $a \in \Sigma$  and  $A, B, C \in N$ .

Intuitively, the non-terminals in the grammar are simulated by states in the automaton. The second non-terminal on the right side of the productions

is pushed to the stack to store it for later. Whenever a final production is processed (Eq. (3)), it is checked which non-terminal is waiting on the stack to be processed. The Büchi-accepting final states of  $M$  are the same as in  $G$ . As the grammar only allows derivations where non-terminals in  $F$  occur infinitely often, the automaton will only allow runs where the same is true for states in  $F$ .

*Claim:* There exists a derivation  $\delta: S \rightarrow \dots \rightarrow a_1 \dots a_i A_1 \dots A_j \rightarrow \dots$  of  $G$  if and only if there exists a run  $\rho: (S, \epsilon) \xrightarrow{a_1} \dots \xrightarrow{a_i} (A_1, A_2 \dots A_j) \rightarrow \dots$  of  $M$ , with  $i \geq 0$ .

We prove the claim by an inductive construction of steps  $i$  in the derivation  $\delta$  and in the run  $\rho$ :

Let  $i = 0$ . Then the derivation  $\delta: S$  is still in start state. As  $q_0 = S$ , the start of the corresponding run is  $\rho: (q_0, \epsilon)$ . The same argument holds for the other direction.

Let  $i > 0$ . We distinguish three cases:

1. Let the  $i$ -th rule be  $A \rightarrow a_i$ . To get the sentential form  $a_1 \dots a_i A_1 \dots A_j$  in the  $i$ -th step, the  $i-1$ -th sentential form has to be  $a_1 \dots a_{i-1} A A_1 \dots A_j$ . Then, by induction hypothesis, there exists the  $i-1$ -th configuration  $(A, A_1 \dots A_j)$  in the run  $\rho$ .

By construction, there exists a transition  $(A, a_i, A_1, (\uparrow, A_1)) \in T$ . It follows that a possible  $i$ -th configuration is  $(A_1, A_2 \dots A_j)$  and the word read until then is  $a_1 \dots a_i$ . The direction from run to derivation works similarly.

2. Let the  $i$ -th rule be  $A \rightarrow a_i A_1$ . To get the  $i$ -th sentential form as assumed in the claim, the  $i-1$ -th sentential form has to be  $a_1 \dots a_{i-1} A A_2 \dots A_j$ . Then, by induction hypothesis, there exists the  $i-1$ -th configuration  $(A, A_2 \dots A_j)$  in the run  $\rho$ .

By construction, there exists a transition  $(A, a_i, A_1, (\#, A_1)) \in T$ . It follows that a possible  $i$ -th configuration is  $(A_1, A_2 \dots A_j)$  and the word read until then is  $a_1 \dots a_i$ . The other direction works similarly.

3. Let the  $i$ -th rule be  $A \rightarrow a_i A_1 A_2$ . To get the  $i$ -th sentential form as assumed in the claim, the  $i-1$ -th sentential form has to be  $a_1 \dots a_{i-1} A A_3 \dots A_j$ . Then, by induction hypothesis, there exists the  $i-1$ -th configuration  $(A, A_3 \dots A_j)$  in the run  $\rho$ .

By construction, there exists a transition  $(A, a_i, A_1, (\downarrow, A_2)) \in T$ . It follows that a possible  $i$ -th configuration is  $(A_1, A_2 \dots A_j)$  and the word read until then is  $a_1 \dots a_i$ . The other direction works similarly.

This proves the claim.

Let  $w = a_1a_2\dots \in \Sigma^\omega$ . Now,

$$\begin{aligned}
w \in \mathcal{L}(M) &\text{ iff } \exists \text{ run } \rho \text{ of } M \text{ on } w \text{ and } \text{Inf}(\text{state}(\rho)) \cap F \neq \emptyset \\
&\text{ iff } \exists \text{ run } \rho: (S, \epsilon) \xrightarrow{a_1} \dots \xrightarrow{a_i} (A_1, A_2 \dots A_j) \rightarrow \dots \text{ of } M \\
&\quad \text{and } \text{Inf}(\text{state}(\rho)) \cap F \neq \emptyset \\
&\text{ iff } \exists \text{ derivation } \delta: S \rightarrow \dots \rightarrow a_1 \dots a_i A_1 \dots A_j \rightarrow \dots \\
&\quad \text{of } G \text{ and } \text{Inf}(\delta) \cap F \neq \emptyset \\
&\text{ iff } \exists \text{ successful derivation } \delta \text{ of } G \text{ on } w \\
&\text{ iff } w \in \mathcal{L}(G).
\end{aligned}$$

The equivalence of the second and third line is due to the claim above.  $\square$

**Example 3.** Let  $G = (N, \Sigma, P, S, F)$  be a Büchi-accepting  $\omega$ -context-free grammar with  $N = \{S, M, B\}$ ,  $\Sigma = \{a, b\}$ ,  $F = \{S\}$  and  $P$  contains the following rules:

$$\begin{aligned}
S &\rightarrow aMS \\
M &\rightarrow b \mid aMB \\
B &\rightarrow b
\end{aligned}$$

Then  $G$  is in quadratic Greibach normal form. Note that the non-terminal  $M$  derives a string  $a^n b^{n+1}$  for  $n \in \mathbb{N}$  and the non-terminal  $S$  prepends another  $a$ . Thus,  $\mathcal{L}(G) = \{a^n b^n \mid n \geq 1\}^\omega$ . By the construction of the proof of Theorem 7,  $G$  can be transformed into the  $\omega$ SPDA of Example 1. Note that Eq. 3 generates a rule for every non-terminal in the grammar. As there are no transitions that push  $M$  on the stack, we omit its pop-rule here.

Now we summarize our results obtained so far.

**Corollary 8.** Let  $L \subseteq \Sigma^\omega$ . The following are equivalent:

- (i)  $L$  is  $\omega$ SPDA-recognizable,
- (ii)  $L$  is accepted by some  $\omega$ -pushdown automaton,
- (iii)  $L$  is contained in the  $\omega$ -Kleene closure of context-free languages,
- (iv)  $L$  is generated by some Muller-accepting  $\omega$ -context-free grammar,
- (v)  $L$  is generated by some Büchi-accepting  $\omega$ -context-free grammar in 2-Greibach normal form.

*Proof.* The notions of  $\omega$ -Kleene closure and general  $\omega$ -pushdown automata were given in Cohen and Gold [9];  $\omega$ -Kleene closure was also used in the proof of Lemma 6.

The proof is performed by the following steps:

(i)  $\Rightarrow$  (ii): trivial by definition,

(ii)  $\Leftrightarrow$  (iii)  $\Leftrightarrow$  (iv): shown by Cohen and Gold [9], Theorem 4.1.8,

(iii)  $\Rightarrow$  (v): follows from Lemma 6,

(v)  $\Rightarrow$  (i): follows from Theorem 7. □

#### 4. Logic for $\omega$ -Pushdown Automata

The goal of this section is to find a Büchi-type logical formalism that is expressively equivalent to  $\omega$ SPDA. This extends the work of Lautemann, Schwentick and Thérien [18] who defined a logic for context-free languages over finite words. They use first-order logic together with one second-order variable that has to define a matching. They also show that monadic second-order logic (MSO) with the one matching variable is equivalent as well. Their proof uses context-free grammars in a symmetric version of the Greibach normal form. Here we use a direct translation from automata and therefore, we will use the monadic second-order approach.

This section is guided by the procedure of Droste and Perevoshchikov [12]. Their main result is a logical characterization of timed pushdown languages for finite words.

Let  $w \in \Sigma^\omega$  be an  $\omega$ -word. The set of all positions of  $w$  is  $\mathbb{N}$ . A binary relation  $M \subseteq \mathbb{N} \times \mathbb{N}$  is a *matching* (cf. [18]) if

- $M$  is compatible with  $<$ , i.e.,  $(i, j) \in M$  implies  $i < j$ ,
- each element  $i$  belongs to at most one pair in  $M$ ,
- $M$  is non-crossing, i.e.,  $(i, j) \in M$  and  $(k, l) \in M$  with  $i < k < j$  implies  $i < l < j$ .

Let  $\text{Match}(\mathbb{N})$  denote the set of all matchings in  $\mathbb{N} \times \mathbb{N}$ .

Let  $V_1, V_2$  denote countable and pairwise disjoint sets of first-order and second-order variables. We fix a *matching variable*  $\mu \notin V_1 \cup V_2$ . Let  $\mathcal{V} = V_1 \cup V_2 \cup \{\mu\}$ .

We will define the logic in two steps. In the first layer of the logic,  $\omega\text{MSO}(\Sigma)$ , the matching variable  $\mu$  is unbounded. The second layer,  $\omega\text{ML}(\Sigma)$ , existentially bounds this variable. We will show in Section 6 that  $\omega\text{ML}(\Sigma)$  is expressively equivalent to  $\omega$ SPDA. As an intermediate step in the corresponding proof, we will use the fact from Section 5 that  $\omega\text{MSO}(\Sigma)$  is expressively equivalent to visibly pushdown  $\omega$ -automata.

**Definition 9.** *Let  $\Sigma$  be an alphabet. The set  $\omega\text{MSO}(\Sigma)$  of matching  $\omega$ -MSO*

$$\begin{array}{l}
(w, \sigma) \models P_a(x) \quad \text{iff } a_{\sigma(x)} = a \\
(w, \sigma) \models x \leq y \quad \text{iff } \sigma(x) \leq \sigma(y) \\
(w, \sigma) \models x \in X \quad \text{iff } \sigma(x) \in \sigma(X) \\
(w, \sigma) \models \mu(x, y) \quad \text{iff } (\sigma(x), \sigma(y)) \in \sigma(\mu) \\
(w, \sigma) \models \neg\varphi \quad \text{iff } (w, \sigma) \not\models \varphi \\
(w, \sigma) \models \varphi \vee \psi \quad \text{iff } (w, \sigma) \models \varphi \text{ or } (w, \sigma) \models \psi \\
(w, \sigma) \models \exists x. \varphi \quad \text{iff } \exists j \in \mathbb{N}. (w, \sigma[x/j]) \models \varphi \\
(w, \sigma) \models \exists X. \varphi \quad \text{iff } \exists J \subseteq \mathbb{N}. (w, \sigma[X/J]) \models \varphi
\end{array}$$

Table 1: The semantics of  $\omega\text{MSO}(\Sigma)$  formulas

formulas is defined by the extended Backus-Naur form (EBNF)

$$\varphi ::= P_a(x) \mid x \leq y \mid x \in X \mid \mu(x, y) \mid \neg\varphi \mid \varphi \vee \psi \mid \exists x. \varphi \mid \exists X. \varphi$$

where  $a \in \Sigma$ ,  $x, y \in V_1$  and  $X \in V_2$ .

Positions in the word will later be assigned to variables in  $\varphi$ . Here,  $P_a(x)$  is a unary predicate indicating that the  $x$ -th letter of the word is  $a$ . Furthermore,  $\mu(x, y)$  says that  $x$  and  $y$  will be matched.

A  $(w, \mathcal{V})$ -assignment is a mapping  $\sigma: \mathcal{V} \rightarrow \mathbb{N} \cup 2^{\mathbb{N}} \cup \text{Match}(\mathbb{N})$  such that  $\sigma(V_1) \subseteq \mathbb{N}$ ,  $\sigma(V_2) \subseteq 2^{\mathbb{N}}$  and  $\sigma(\mu) \in \text{Match}(\mathbb{N})$ .

Let  $\sigma$  be a  $(w, \mathcal{V})$ -assignment. For  $x \in V_1$  and  $j \in \mathbb{N}$ , the update  $\sigma[x/j]$  is the  $(w, \mathcal{V})$ -assignment  $\sigma'$  with  $\sigma'(x) = j$  and  $\sigma'(y) = \sigma(y)$  for all  $y \in \mathcal{V} \setminus \{x\}$ . The update  $\sigma[X/J]$  for  $X \in V_2$  and  $J \subseteq \mathbb{N}$  and the update  $\sigma[\mu/M]$  for  $M \in \text{Match}(\mathbb{N})$  are defined similarly.

Let  $\varphi \in \omega\text{MSO}(\Sigma)$ . Furthermore, let  $w = a_0 a_1 \dots \in \Sigma^\omega$  and  $\sigma$  be a  $(w, \mathcal{V})$ -assignment. We define  $(w, \sigma) \models \varphi$  inductively over the structure of  $\varphi$  as shown in Table 1, where  $a \in \Sigma$ ,  $x, y \in V_1$  and  $X \in V_2$ . The logical counterparts  $\wedge$ ,  $\rightarrow$ ,  $\forall x. \phi$  and  $\forall X. \phi$  can be gained in the usual way from negation and the existing operators.

We now define  $\text{MATCHING}(\mu) \in \omega\text{MSO}(\Sigma)$  which ensures that  $\mu$  is

matching. Let

$$\begin{aligned} \text{MATCHING}(\mu) = & \forall x \forall y. (\mu(x, y) \rightarrow x < y) \wedge \\ & \forall x \forall y \forall k. ((\mu(x, y) \wedge k \neq x \wedge k \neq y) \rightarrow \neg \mu(x, k) \wedge \neg \mu(k, x) \wedge \neg \mu(y, k) \wedge \neg \mu(k, y)) \wedge \\ & \forall x \forall y \forall k \forall l. ((\mu(x, y) \wedge \mu(k, l) \wedge x < k < y) \rightarrow x < l < y), \end{aligned}$$

where  $x \neq y$ ,  $x < y$  and  $i < j < k$  have the usual translation.

**Definition 10.** We let  $\omega\text{ML}(\Sigma)$ , the set of formulas of matching  $\omega$ -logic over  $\Sigma$ , be the set of all formulas  $\psi$  of the form

$$\psi = \exists \mu. (\varphi \wedge \text{MATCHING}(\mu)),$$

for short  $\psi = \exists^{\text{match}} \mu. \varphi$ , where  $\varphi \in \omega\text{MSO}(\Sigma)$ .

Let  $w \in \Sigma^\omega$  and  $\sigma$  be a  $(w, \mathcal{V})$ -assignment. Then,  $(w, \sigma) \models \psi$  if there exists a matching  $M \subseteq \mathbb{N}^2$  such that  $(w, \sigma[\mu/M]) \models \varphi$ .

Let  $\psi \in \omega\text{ML}(\Sigma)$ . We denote by  $\text{Free}(\psi) \subseteq \mathcal{V}$  the set of *free variables* of  $\psi$ . A formula  $\psi$  with  $\text{Free}(\psi) = \emptyset$  is called a *sentence*. For a sentence  $\psi$ , the validity of  $(w, \sigma) \models \psi$  does not depend on  $\sigma$ . Therefore,  $\sigma$  will be omitted and we only write  $w \models \psi$ . We denote by  $\mathcal{L}(\psi) = \{w \in \Sigma^\omega \mid w \models \psi\}$  the language *defined* by  $\psi$ . A language  $L \subseteq \Sigma^\omega$  is  $\omega\text{ML}$ -*definable* if there exists a sentence  $\psi \in \omega\text{ML}(\Sigma)$  such that  $\mathcal{L}(\psi) = L$ .

The following will be the second main result.

**Theorem 11.** Let  $\Sigma$  be an alphabet and  $L \subseteq \Sigma^\omega$  an  $\omega$ -language. Then  $L$  is  $\omega\text{ML}$ -definable if and only if  $L$  is  $\omega\text{SPDA}$ -recognizable.

After some preparations, this theorem will be proved in Section 6.

## 5. Visibly Pushdown $\omega$ -Languages

It turns out that the  $\omega\text{MSO}(\Sigma)$  formulas correspond exactly to the MSO-logic defined for visibly pushdown  $\omega$ -languages [1]. In fact, without considering the existential quantification over the matching relation  $\exists^{\text{match}} \mu$ , the matching must explicitly be encoded in the words; the result is a nested word. For the convenience of the reader, we recall nested words and visibly pushdown languages [1, 2] in this section.

A *nested alphabet* is a triple  $\tilde{\Sigma} = (\Sigma^\downarrow, \Sigma^\#, \Sigma^\uparrow)$  with  $\Sigma^\downarrow$ ,  $\Sigma^\#$  and  $\Sigma^\uparrow$  being pairwise disjoint sets of *push*, *internal* and *pop* letters, respectively. Let  $\hat{\Sigma} = \Sigma^\downarrow \cup \Sigma^\# \cup \Sigma^\uparrow$ .

**Definition 12.** A visibly pushdown  $\omega$ -automaton ( $\omega$ VPA) is a 6-tuple  $M = (Q, \tilde{\Sigma}, \Gamma, T, q_0, F)$  where

- $Q$  is a finite set of states,
- $\tilde{\Sigma}$  is a finite nested alphabet,
- $\Gamma$  is a finite stack alphabet,
- $T = T^\downarrow \cup T^\# \cup T^\uparrow$  is a set of transitions, with
  - $T^\downarrow \subseteq Q \times \Sigma^\downarrow \times Q \times (\{\downarrow\} \times \Gamma)$ ,
  - $T^\# \subseteq Q \times \Sigma^\# \times Q \times \{\#\}$ ,
  - $T^\uparrow \subseteq Q \times \Sigma^\uparrow \times Q \times (\{\uparrow\} \times \Gamma)$ ,
- $q_0$  is the initial state and
- $F$  is a set of (Büchi accepting) final states.

The following definitions are mostly similar to the ones for  $\omega$ SPDAs. The only difference is that the definition for  $T$  above restricts push transitions to push letters, pop transitions to pop letters and internal transitions to internal letters.

A *configuration* of an  $\omega$ VPA  $M = (Q, \tilde{\Sigma}, \Gamma, T, q_0, F)$  is a pair  $(q, \gamma)$ , where  $q \in Q$  and  $\gamma \in \Gamma^*$ . We define the transition relation as follows. Let  $\gamma \in \Gamma^*$ . For  $t = (q, \sigma, q', (\downarrow, A)) \in T^\downarrow$ , we write  $(q, \gamma) \vdash_M^t (q', A\gamma)$ . For  $t = (q, \sigma, q', \#) \in T^\#$ , we write  $(q, \gamma) \vdash_M^t (q', \gamma)$ . Finally, for  $t = (q, \sigma, q', (\uparrow, A)) \in T^\uparrow$ , we write  $(q, A\gamma) \vdash_M^t (q', \gamma)$ .

We denote by  $\text{state}(q, \sigma, q', s) = q$  the state and by  $\text{label}(q, \sigma, q', s) = \sigma$  the label of a transition. Both are extended to infinite sequence of transitions by letting  $\text{state}((t_i)_{i \geq 0}) = (\text{state}(t_i))_{i \geq 0} \in Q^\omega$  for the infinite sequence of states and  $\text{label}((t_i)_{i \geq 0}) = (\text{label}(t_i))_{i \geq 0} \in \hat{\Sigma}^\omega$  for the infinite word constructed from the labels of the transitions.

We call an infinite sequence of transitions  $\rho = (t_i)_{i \geq 0}$  with  $t_i \in T$  a *run* of  $M$  on  $w = \text{label}(\rho) \in \hat{\Sigma}^\omega$  iff there exists an infinite sequence of configurations  $(q_i, \gamma_i)_{i \geq 0}$  with  $\gamma_0 = \epsilon$  such that  $(q_i, \gamma_i) \vdash_M^{t_i} (q_{i+1}, \gamma_{i+1})$  for each  $i \geq 0$ .

A run  $\rho$  is called *successful* if  $\text{Inf}(\text{state}(\rho)) \cap F \neq \emptyset$ .

**Definition 13.** For an  $\omega$ VPA  $M = (Q, \tilde{\Sigma}, \Gamma, T, q_0, F)$ , the language accepted by  $M$  is denoted by  $\mathcal{L}(M) = \{w \in \hat{\Sigma}^\omega \mid \exists \text{ successful run of } M \text{ on } w\}$ . A language  $L \subseteq \hat{\Sigma}^\omega$  is  $\omega$ VPA-recognizable with respect to  $\tilde{\Sigma}$  if there exists an  $\omega$ VPA  $M = (Q, \tilde{\Sigma}, \Gamma, T, q_0, F)$  with  $\mathcal{L}(M) = L$ .

Note that the  $\omega$ VPA-recognizable languages with respect to  $\tilde{\Sigma}$  form a proper subclass of the  $\omega$ SPDA-recognizable languages over  $\hat{\Sigma}$ .

Also note that the definition here differs from the definition in [1] by the way how we handle the empty stack. Alur, Madhusudan allow their automata to check if the stack is empty by reading the special symbol  $\perp$ . The  $\omega$ VPA does not allow this check directly. But the  $\omega$ VPA can duplicate all its states and stack symbols to allow us to distinguish between states with empty stack and those with non-empty stack. Whenever pushing a symbol onto the empty stack, this new stack symbol has to contain the extra information that upon popping that symbol, the automaton has to change to an empty-stack state afterwards.

Let  $f : \hat{\Sigma} \rightarrow \hat{\Sigma}'$  be a mapping. It *respects nesting* if  $f(\Sigma^\downarrow) \subseteq \Sigma'^\downarrow$ ,  $f(\Sigma^\#) \subseteq \Sigma'^\#$  and  $f(\Sigma^\uparrow) \subseteq \Sigma'^\uparrow$ . The mapping will be extended to words in the natural way.

**Theorem 14** (Alur and Madhusudan [1]). *Let  $L_1 \subseteq \hat{\Sigma}^\omega$  and  $L_2 \subseteq \hat{\Sigma}^\omega$  be  $\omega$ VPA-recognizable with respect to  $\tilde{\Sigma}$ . Then  $L_1 \cup L_2$ ,  $L_1 \cap L_2$ ,  $\hat{\Sigma}^\omega \setminus L_1$  are  $\omega$ VPA-recognizable with respect to  $\tilde{\Sigma}$ . If  $f: \hat{\Sigma} \rightarrow \hat{\Sigma}'$  is a mapping that respects nesting, then  $f(L_1)$  is  $\omega$ VPA-recognizable with respect to  $\tilde{\Sigma}'$ .*

We now discuss how the logic  $\omega$ MSO has the same expressive power as  $\omega$ VPAs. Note that all  $\omega$ MSO( $\Sigma$ ) formulas contain at least the free variable  $\mu$ . We therefore have to extend the definitions as follows. Let

$$\mathcal{L}_{\mathcal{V}}(\psi) = \{(w, \sigma) \mid w \in \Sigma^\omega, \sigma \text{ is a } (w, \mathcal{V})\text{-assignment, } (w, \sigma) \models \psi\}.$$

In the following, we are only interested in  $\mathcal{L}_{\{\mu\}}(\psi)$ . The matching relation  $\mu$  can be encoded into  $\Sigma$  to gain a nested alphabet called the *tagged alphabet*  $\text{tag}(\Sigma) = (\{a^\downarrow \mid a \in \Sigma\}, \{a^\# \mid a \in \Sigma\}, \{a^\uparrow \mid a \in \Sigma\})$  where the *tagged* letters  $a^\downarrow$ ,  $a^\#$  and  $a^\uparrow$  are not occurring in  $\Sigma$ . For that, consider a word  $w = a_0 a_1 \cdots$  and a  $(w, \{\mu\})$ -assignment  $\sigma$ . The encoding for  $(w, \sigma)$  is the *tagged* word  $\tilde{w} = \tilde{a}_0 \tilde{a}_1 \cdots$  where  $\tilde{a}_i = a_i^\downarrow$  if there exists a position  $y$  with  $\mu(i, y)$ , and  $\tilde{a}_i = a_i^\uparrow$  if there exists a position  $x$  with  $\mu(x, i)$ , and  $\tilde{a}_i = a_i^\#$  otherwise. This encoding will be extended to sets of  $(w, \{\mu\})$ -assignments like  $\mathcal{L}_{\{\mu\}}(\varphi)$  in the natural way. The underlying alphabet will be called  $\hat{\Sigma}_{\text{tag}} = \{\sigma^\downarrow \mid \sigma \in \Sigma\} \cup \{\sigma^\# \mid \sigma \in \Sigma\} \cup \{\sigma^\uparrow \mid \sigma \in \Sigma\}$ .

**Theorem 15** (Alur and Madhusudan [1]). *Let  $L \subseteq \hat{\Sigma}_{\text{tag}}^\omega$ . Then,  $L$  is  $\omega$ VPA-recognizable with respect to  $\text{tag}(\Sigma)$  iff there is an  $\omega$ MSO( $\Sigma$ )-formula  $\varphi$  with  $\text{Free}(\varphi) = \{\mu\}$  and  $\mathcal{L}_{\{\mu\}}(\varphi) = L$ .*



The mapping  $\pi: \hat{\Sigma}_{\text{tag}} \rightarrow \Sigma$  removes the “tag” of a tagged letter. Thus,  $\pi$  maps  $a^\downarrow$ ,  $a^\#$  and  $a^\uparrow$  to  $a$ . This can be extended to words by letting  $\pi(a_0 a_1 \dots) = \pi(a_0) \pi(a_1) \dots$  and to languages  $L \subseteq \hat{\Sigma}_{\text{tag}}^\omega$  by setting  $\pi(L) = \{\pi(w) \mid w \in L\}$ .

**Lemma 16.** *Let  $L \subseteq \hat{\Sigma}_{\text{tag}}^\omega$  be  $\omega$ VPA-recognizable with respect to  $\text{tag}(\Sigma)$ . Then  $\pi(L) \subseteq \Sigma^\omega$  is  $\omega$ SPDA-recognizable.*

This has been proved in [5] for finite nested words. Here, we present their proof adopted similarly to infinite words.

*Proof.* Let  $A = (Q, \text{tag}(\Sigma), \Gamma, T, q_0, F)$  be an  $\omega$ VPA with  $\mathcal{L}(A) = L$ . We construct an  $\omega$ SPDA  $B = (Q, \Sigma, \Gamma, T', q_0, F)$  such that  $\mathcal{L}(B) = \pi(L)$ . Let

$$T' = \{(q, \pi(a), p, s) \mid (q, a, p, s) \in T\}.$$

Now,

$$\begin{aligned} \mathcal{L}(B) &= \{w \in \Sigma^\omega \mid \exists \text{ successful run of } B \text{ on } w\} \\ &= \{w \in \Sigma^\omega \mid \exists \text{ successful run } \rho \text{ of } B \text{ on } w = w_0 w_1 \dots \text{ and} \\ &\quad \rho: (q_0, \gamma_0) \xrightarrow{w_0} (q_1, \gamma_1) \xrightarrow{w_1} \dots\} \\ &= \{w \in \Sigma^\omega \mid \exists \text{ run } \rho \text{ of } B \text{ on } w \text{ with } \text{Inf}(\text{state}(\rho)) \cap F \neq \emptyset \text{ and} \\ &\quad \rho: (q_0, \gamma_0) \xrightarrow{w_0} (q_1, \gamma_1) \xrightarrow{w_1} \dots \text{ and} \\ &\quad w = w_0 w_1 \dots = \pi(v_0) \pi(v_1) \dots = \pi(v)\} \\ &= \{w \in \Sigma^\omega \mid w = \pi(v) \text{ and } \exists \text{ run } \rho' \text{ of } A \text{ on } v = v_0 v_1 \dots \text{ with} \\ &\quad \text{Inf}(\text{state}(\rho')) \cap F \neq \emptyset \text{ and } \rho': (q_0, \gamma_0) \xrightarrow{v_0} (q_1, \gamma_1) \xrightarrow{v_1} \dots\} \\ &= \{\pi(v) \mid v \in \hat{\Sigma}_{\text{tag}}^\omega \text{ and } \exists \text{ successful run } \rho' \text{ of } A \text{ on } v = v_0 v_1 \dots \text{ with} \\ &\quad \rho': (q_0, \gamma_0) \xrightarrow{v_0} (q_1, \gamma_1) \xrightarrow{v_1} \dots\} \\ &= \pi\{v \in \hat{\Sigma}_{\text{tag}}^\omega \mid \exists \text{ successful run of } A \text{ on } v\} \\ &= \pi(\mathcal{L}(A)) = \pi(L). \end{aligned} \quad \square$$

## 6. Equivalence of Logic and Automata

This section proves the expressive equivalence of the full logic and  $\omega$ -pushdown automata.

Let  $a = (a_1, \dots, a_n) \in A_1 \times \dots \times A_n$  be a tuple. Then we define for  $1 \leq i \leq n$  the  $i$ -th projection of  $a$  by  $\text{pr}_i(a) = a_i$ .

**Lemma 17.** *Let  $\Sigma$  be an alphabet and  $L \subseteq \Sigma^\omega$  be  $\omega$ SPDA-recognizable. Then  $L$  is  $\omega$ ML-definable.*

*Proof.* By assumption, there exists an  $\omega$ SPDA  $\mathcal{A} = (Q, \Sigma, \Gamma, T, q_0, F)$  with  $\mathcal{L}(\mathcal{A}) = L$ . Let  $T = \{t_1, \dots, t_m\}$  be an enumeration of the transitions. We define an  $\omega$ ML-sentence  $\psi$  such that  $\mathcal{L}(\psi) = L$  as follows. Hereby, we proceed similarly to the lines of [11].

First, we will need an auxiliary formula

$$\text{next}(x, y) = x < y \wedge \neg(\exists z. x < z \wedge z < y).$$

We define the set of second-order variables  $\mathcal{V} = \{X_t \mid t \in T\}$ . The following three  $\omega$ MSO( $\Sigma$ ) formulas  $\psi_{\text{part}}$ ,  $\psi_{\text{comp}}$  and  $\psi_{\text{final}}$  will be used. We let

$$\psi_{\text{part}} = \forall x. \bigvee_{t \in T} (x \in X_t \wedge \bigwedge_{t' \in T: t \neq t'} x \notin X_{t'}).$$

Then  $\psi_{\text{part}}$  ensures that the variables  $X_t$  form a partitioning of the positions  $x$ . Now let

$$\psi_{\text{comp}} = \forall x. \left( \varphi_{\text{first}}(x) \wedge \bigwedge_{t \in T} (x \in X_t \rightarrow (\varphi_1(x, t) \wedge \varphi_2(x, t) \wedge \varphi_3(x, t))) \right),$$

and let

$$\begin{aligned} \varphi_{\text{first}}(x) &= \forall y. (x \leq y) \rightarrow \bigvee_{t \in T: \text{pr}_1(t)=q_0} x \in X_t, \\ \varphi_1(x, (q, a, q', s)) &= P_a(x), \\ \varphi_2(x, (q, a, q', s)) &= \forall y. (\text{next}(x, y) \rightarrow \bigvee_{t' \in T: \text{pr}_1(t')=q'} y \in X_{t'}), \\ \varphi_3(x, (q, a, q', (\uparrow, A))) &= \exists y. \left( \bigvee_{t \in T: \text{pr}_4(t)=(\downarrow, A)} y \in X_t \wedge \mu(y, x) \right). \end{aligned}$$

Then  $\psi_{\text{comp}}$  ensures that there exists a run of the automaton. Note how the formula  $\varphi_3$  applies the matching variable  $\mu$  to simulate the stack of the automaton.

Finally,  $\psi_{\text{final}}$  controls the acceptance condition that final states have to occur infinitely often in a successful run:

$$\psi_{\text{final}} = \forall x \exists y. \left( x < y \wedge \bigvee_{t \in T: \text{pr}_1(t) \in F} y \in X_t \right).$$

Now, let  $\psi \in \omega\text{ML}(\Sigma)$  be the sentence defined as

$$\psi = \exists^{\text{match}} \mu. \psi_{\text{part}} \wedge \psi_{\text{comp}} \wedge \psi_{\text{final}}.$$

Then,

$$\begin{aligned} \mathcal{L}(\psi) &= \{w \in \Sigma^\omega \mid \exists \text{ matching } M \text{ s.t. } (w, \emptyset[\mu/M]) \models \psi_{\text{part}} \wedge \psi_{\text{comp}} \wedge \psi_{\text{final}}\} \\ &= \{w \in \Sigma^\omega \mid \exists \text{ successful run of } \mathcal{A} \text{ on } w\} \\ &= \mathcal{L}(\mathcal{A}) = L. \end{aligned} \quad \square$$

The other direction uses the corresponding results for visibly pushdown languages.

**Lemma 18.** *Let  $\Sigma$  be an alphabet and  $L \subseteq \Sigma^\omega$  be  $\omega\text{ML}$ -definable. Then  $L$  is  $\omega\text{SPDA}$ -recognizable.*

*Proof.* Let  $\psi = \exists^{\text{match}} \mu. \varphi \in \omega\text{ML}(\Sigma)$  be a formula with  $\mathcal{L}(\psi) = L$ .

We know  $\varphi \in \omega\text{MSO}(\Sigma)$  with  $\text{Free}(\varphi) = \{\mu\}$ . Let  $L' = \mathcal{L}_{\{\mu\}}(\varphi)$ . By Theorem 15,  $L' \subseteq \hat{\Sigma}^\omega$  is  $\omega\text{VPA}$ -recognizable with respect to  $\tilde{\Sigma} = (\{\sigma^\downarrow \mid \sigma \in \Sigma\}, \{\sigma^\# \mid \sigma \in \Sigma\}, \{\sigma^\uparrow \mid \sigma \in \Sigma\})$ .

The remaining existential quantification over the matching relation  $\mu$  is exactly the projection  $\pi$  from  $\omega\text{VPA}$ -recognizable languages to  $\omega\text{SPDA}$ -recognizable languages. By Lemma 16,  $L = \pi(L')$  is  $\omega\text{SPDA}$ -recognizable.  $\square$

*Proof of Theorem 11.* This theorem is immediate by Lemmas 17 and 18.  $\square$

Concluding, we can summarize:

**Corollary 19.** *Let  $L \subseteq \Sigma^\omega$ . The following are equivalent:*

- (i)  $L$  is  $s\text{PDA}$ -recognizable,
- (ii)  $L$  is an  $\omega$ -context-free language,
- (iii)  $L$  is  $\omega\text{ML}$ -definable.

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