

An equivalence relation between morphological dynamics and persistent homology in 1D

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Outline

- 1 Motivation
- 2 Mathematical background
- 3 Pairing by dynamics implies pairing by persistence
- 4 Pairing by persistence implies pairing by dynamics
- 5 Conclusion

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Dynamics vs. persistence

Domains:

- Dynamics $\in$ Mathematical Morphology
- Topological persistence $\in$ Persistent Homology.

Practical uses:

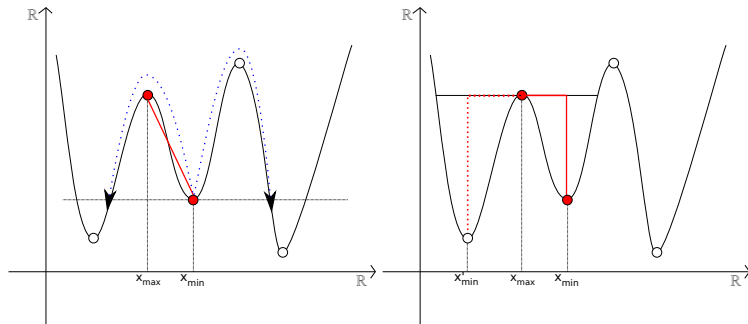
- dynamics $\leadsto$ markers $\leadsto$ watersheds $\leadsto$ segmentation $\leadsto$ image analysis,
- persistence $\leadsto$ pairings $\leadsto$ cancelations $\leadsto$ simplification $\leadsto$ image visualisation

Differences:

- dynamics $\leadsto$ correspond to regional minima,
- persistence $\leadsto$ is related to gradient vector fields (Morse-Smale complexes).

Both encode topological information of functions.

An example



↪ same pairings but different definitions!

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Morse functions

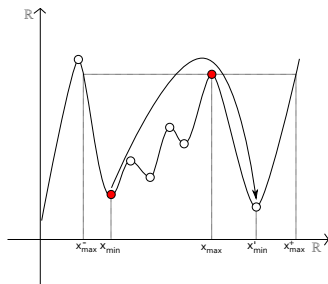
Morse functions (general definition):

- $f \in C^2(\mathcal{D})$ and the Hessian matrix is not degenerated at the critical points,
- is does not have any plateau,
- for pairings, we need critical values to be unique.

Dynamics I

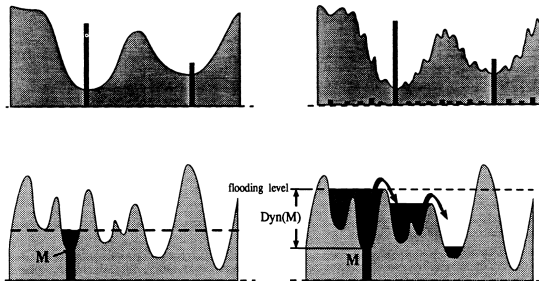
- $f : \mathbb{R} \rightarrow \mathbb{R}$ continuous, and $x_{\min}$ a local minimum of f ,
- γ a path following the graph of f from $\gamma(0) := x_{\min}$ to $\gamma(1)$ s.t. $f(\gamma(1)) < f(x_{\min})$,

$$\text{dyn}(x_{\min}) := \min_{\gamma} \max_{s \in [0,1]} f(\gamma(s)) - f(x_{\min}), \quad [\text{Grimaud (1992)}]$$



Equivalently, $\text{dyn}(x_{\min}) = f(x_{\max}) - f(x_{\min})$ with $x_{\max}$ the local max of f corresponding to the minimal effort.


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graph TD; dynamics <--> watershed; dynamics <--> floodings; watershed <--> floodings
```



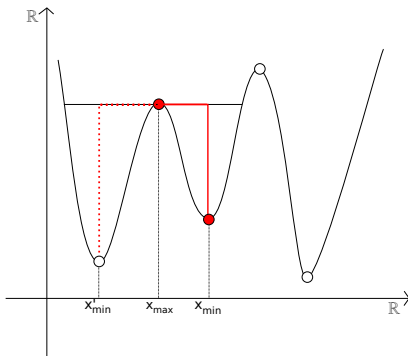
Topological persistence I

Pairing by persistence

- $f : \mathbb{R} \rightarrow \mathbb{R}$ a Morse function,
- $x_{\max}$ a local maximum of f ,
- $x_{\max}^-, x_{\max}^+$ s.t.
 $[x_{\max}^-, x_{\max}^+] = CC([f \leq f(x_{\max})], x_{\max})$,
- $CC_1 := [x_{\max}^-, x_{\max}]$ and $CC_2 := [x_{\max}, x_{\max}^+]$,
- $rep_i := \arg \min_{x \in CC_i} f(x)$, $\forall i \in \{1, 2\}$,
- $x_{\min} := \arg \max_{x \in \{rep_1, rep_2\}} f(x)$,

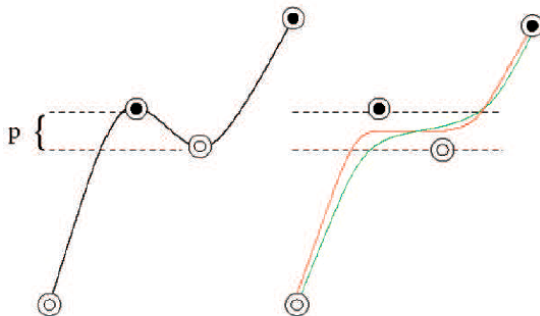
Then, $x_{\max}$ is paired with $x_{\min}$ by persistence.

$\Rightarrow$ Topological persistence $:= f(x_{\max}) - f(x_{\min})$.



Topological persistence II

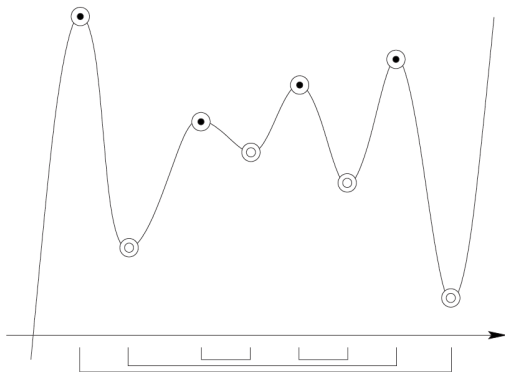
Example of topological simplification in 1D:



We remove critical points but keep the main topological information.

Topological persistence III

Procedure: we remove pairs of points by increasing persistence.



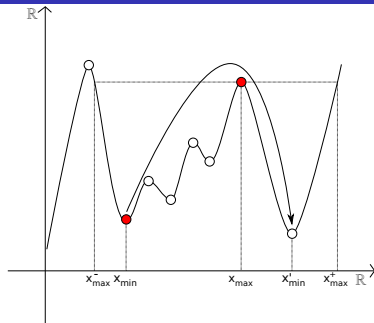
Nested relationship of intervals (pairing by persistence) $\Rightarrow$ hierarchy of simplifications.

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Hypothesis

- $f : \mathbb{R} \rightarrow \mathbb{R}$ a Morse function,
- $x_{\min}$ a local minimum of f ,
- $x_{\min}$ paired with $x_{\max}$ by dynamics,
- $x_{\max} > x_{\min}$,
- $[x_{\max}^-, x_{\max}^+] = CC([f \leq f(x_{\max})], x_{\max})$.



Property (P1)

$$x_{\min} = \arg \min_{x \in [x_{\max}^-, x_{\max}^+]} f(x)$$

Property (P2)

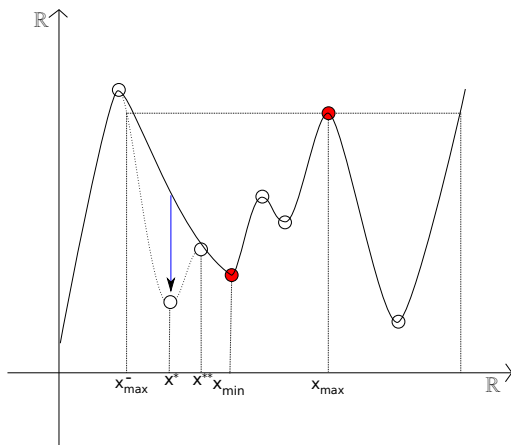
Under some constraints, $x'_{\min} := \arg \min_{x \in [x_{\max}, x_{\max}^+]} f(x)$ satisfies $f(x'_{\min}) < f(x_{\min})$.

Theorem

$x_{\max}$ is paired with $x_{\min}$ by persistence.

Property (P1)

$$x_{\min} = \arg \min_{x \in [x_{\max}^-, x_{\max}]} f(x)$$



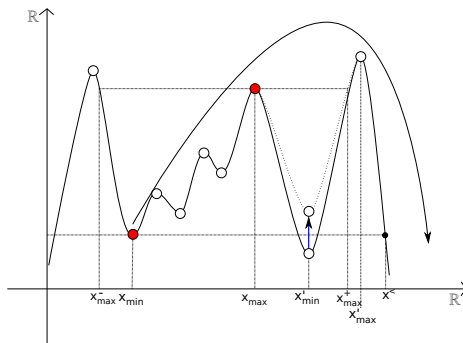
Intuition: if there exists $x^* \in [x_{\max}^-, x_{\max}]$ s.t. $f(x^*)$ is lower than $f(x_{\min})$,
 $\text{dyn}(x_{\min}) < f(x_{\max}) - f(x_{\min}) \leadsto$ contradiction.

Property (P2)

When we have:

- $[x_{\max}^-, x_{\max}^+] = CC([f \leq f(x_{\max}), x_{\max}])$,
- $x_{\max}^+$ is finite,

Then $x'_{\min} := \arg \min_{x \in [x_{\max}, x_{\max}^+]} f(x)$ satisfies $f(x'_{\min}) < f(x_{\min})$.



Intuition: if we increase $f(x'_{\min})$ enough, $\text{dyn}(x_{\min})$ increases too $\leadsto$ contradiction.

First main result of this paper:

Theorem

When f is a 1D Morse function and $x_{\min}$ and $x_{\max}$ are paired by dynamics, then $x_{\max}$ and $x_{\min}$ are paired by persistence too.

We have proved that pairing by dynamics implies pairing by persistence. Let us prove the converse.

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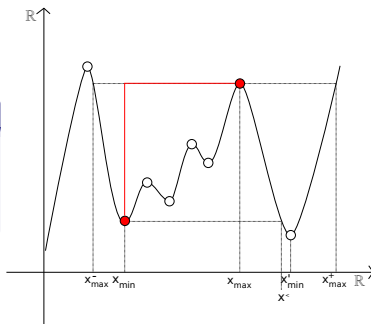
Pairing by persistence implies pairing by dynamics I

Hypothesis

- $f : \mathbb{R} \rightarrow \mathbb{R}$ a Morse function with $x_{\max}$ a local maximum of f ,
- $x_{\max}$ and $x_{\min}$ are paired by persistence with $x_{\min} < x_{\max}$.
- $[x_{\max}^-, x_{\max}^+] = CC([f \leq f(x_{\max})], x_{\max})$,
- $x'_{\min} := \arg \min_{x \in [x_{\max}, x_{\max}^+]} f(x)$

Property

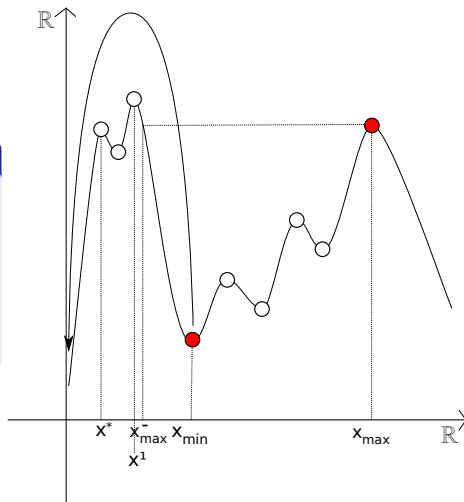
- 1 $\exists \gamma$ from $x_{\min}$ to $x'_{\min}$ following the graph of f corresponding to an "effort" of $f(x_{\max}) - f(x_{\min})$,
- 2 then $\text{dyn}(x_{\min}) \leq f(x_{\max}) - f(x_{\min})$,



Pairing by persistence implies pairing by dynamics II

Property

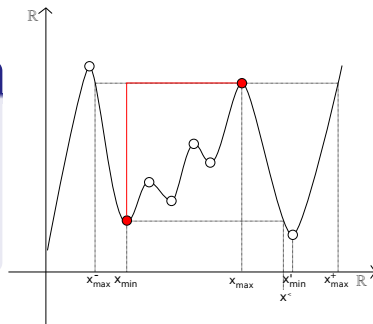
- 3 if $x_{\min}$ is paired with $x^* < x_{\min}$ by dynamics, then
 $\text{dyn}(x_{\min}) > f(x_{\max}) - f(x_{\min})$
(Contradiction).
- 4 then $x_{\min}$ is paired with an abscissa $x^* > x_{\min}$ by dynamics,



Pairing by persistence implies pairing by dynamics III

Property

- 5 to "reach" a level lower than $f(x_{\min})$ on f , we need to go through $x_{\max}$, then
 $\text{dyn}(x_{\min}) \geq f(x_{\max}) - f(x_{\min})$,
- 6 then $\text{dyn}(x_{\min}) = f(x_{\max}) - f(x_{\min})$,
- 7 the only local extremum satisfying (6) is $x_{\max}$.



Second main result of this paper:

Theorem

When f is a 1D Morse function and $x_{\min}$ and $x_{\max}$ are paired by persistence, then $x_{\max}$ and $x_{\min}$ are paired by dynamics too.

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Summary

- on Morse functions, pairings by persistence and by dynamics are equivalent,
- persistence and dynamics values are then equal,
- another relation between MM and MT:

$$WS(f) \cup WS(-f) = MS(f),$$

- finally, we reinforced the relation between MM and MT!

Future works

- 2D extension of dynamics $\Leftrightarrow$ persistence,
- extension to discrete Morse functions (Forman),
- investigate if algorithms of MM can be used in PH and conversely,

Questions

Is this a Morse function?

